

I) BACKGROUND INFORMATION: SPECIAL PROSECUTION UNIT

The Attorney General's trial prosecution efforts are focused in multiple areas:

1) Complex Crimes, 2) Environmental Crimes, 3) Gang Prosecution, 4) Foreign Prosecutions, (5) Auto Theft and 6) the Violent Crime Assistance Team (VCAT). Of these duties, the "Special Prosecutions Unit" (hereinafter SPU) dealt with all six areas. The SPU is also involved in several outreach programs associated with mitigating gang activity, preventing school violence and responding to child abductions.

The most accurate review of the Special Prosecutions Unit is illustrated by an individual review of each of six subject areas:

1. Complex Crimes

The Complex Crimes Unit prosecutes cases that are: 1) referred to us because of our specialized knowledge and experience, or 2) multi-jurisdictional cases investigated through the use of the statewide grand jury.

This Unit derives its authority to investigate and prosecute from three sources: a) statutory authority granted directly to the Attorney General; b) appointment by a local District Attorney to act as a Special Deputy District Attorney; and c) gubernatorial order.

Complex criminal matters required the efforts of four attorneys and three investigators. Several areas of expertise include racketeering cases, domestic terrorism, burglary and robbery cases, identity fraud, large check fraud schemes, narcotics trafficking rings (including the illegal distribution of cocaine, methamphetamine and prescription drugs), mortgage fraud, and tax fraud.

One of the investigators and one of the prosecutors allocate a portion of their time to gang prosecutions, as needed. The remaining complex crime prosecutors focused on the financial and narcotic crimes associated with the gang activities and organized criminal enterprises.

2. Environmental Crimes

The primary function of the Environmental Crimes subspecialty is to investigate and prosecute environmental crimes occurring in the State of Colorado. The Unit also provides investigative and prosecutorial expertise and support to statewide law enforcement efforts against entities committing environmental crimes in the state. The Unit also coordinates a state/federal task force for the purpose of engendering an interrelated effort to contend with environmental crimes affecting Colorado. Members of the Unit also work with the Colorado Department of Public Health and Environment and the United States Environmental Protection Agency to increase awareness and recognition of environmental crimes through training programs directed at local regulators, law enforcement, and other agencies intimately related to the management of Colorado's resources.

The unit derives its authority from statutory authority granted directly to the Attorney General and special appointment by district attorneys. The Unit consists of one Attorney General Prosecutor, a Special Assistant Attorney General, as needed, from the Environmental Protection Agency, an Attorney General Investigator, an analyst and two special agents from the Environmental Protection Agency's Criminal Investigations Division. All costs associated with the EPA Attorney and Investigator are borne by the EPA. The Unit investigates and prosecutes environmental crimes regardless of media (i.e. hazardous waste, hazardous substances, water and air) using existing environmental statutes and other crimes enumerated in the criminal code when applicable.

3. Gang Prosecution

Gangs are a continuing problem in Colorado. The Gang Prosecutions (GP) emphasis began in 2000 with a mission to decrease the impact gangs have on the community. Since the inception of this emphasis, we have indicted over 200 gang members on over 300 felony charges. The majority of these gang members were indicted on racketeering-related charges. These prosecutions have all resulted in felony convictions and dozens of years in prison sentences. GP works to accomplish that goal through collaborative efforts with other agencies. GP prosecutes gang-related multi-jurisdictional crimes. Since the GP started, many gang members have been successfully indicted and convicted under the Colorado Organized Crime Control Act ("COCCA").

The COCCA laws are similar to federal racketeering laws. Racketeering cases are complex, and demand a lot of resources to adequately investigate and prosecute. Investigations often requires months of collaborative work with numerous agencies before sufficient evidence exists to prosecute these cases. The complexity of these large, multi-jurisdictional cases demand prosecution by experienced attorneys.

In addition to investigation and prosecution, the GP attorneys should be involved with community outreach. An attorney from the AG's Office serves as a legal advisor to the Colorado Gang Advisory Board ("CoG"). The other COG board members are representatives from the Colorado Bureau of Investigation and Colorado law enforcement agencies. COG created and maintains a gang database that is used by law enforcement statewide. The GP attorneys also provide educational outreach and training to other prosecutors, police officers, school officials, mental health professionals, law enforcement command staff and corrections officials.

4. Foreign Prosecutions

Each year fugitives flee Colorado after committing homicides. State records reveal 226 active homicide arrest warrants. Nearly 20% of these warrants reveal that the murder fugitive fled to Mexico to avoid prosecution in Colorado. The CBI database indicates 43 murder fugitives believed to be Mexican nationals returned to their country of origin and

currently are residing in the Republic of Mexico, in an effort to avoid apprehension and justice in Colorado.

There are two avenues available to states when attempting to prosecute fugitives that have fled to Mexico. First, the state may attempt to extradite the fugitive back to the United States for prosecution. Second, we have the option to prosecute the fugitive in Mexico under Article IV of the Mexican Federal Penal Code. Extradition treaties exist between Mexico and the U.S. However, the government of Mexico has been reluctant to extradite Mexican nationals back to the United States. There have been limited extraditions of Mexican nationals for serious offenses and certain aggravated murders.

The other option available in prosecuting fugitives in Mexico is prosecution in Mexico under Article IV of the Mexican Penal Code. This option, provided by the government of Mexico, is to try their citizens in Mexico for crimes committed in the U.S. If convicted, the offenders serve their sentence in a Mexican prison. In 2001, the General Assembly granted funding for a bilingual investigator at the Attorney General's Office to be the coordinator for Colorado Article IV prosecutions. Mexico's Article IV requires the case be submitted by either the State or Federal Attorney General. The FPU investigator works both with the Mexican Attorney General's Office (PGR), and Colorado law enforcement, to facilitate appropriate Article IV filings and prosecutions of Colorado cases.

During FY 2012-2013 the Attorney General's Foreign Prosecution prepared and filed murder case in Mexico. Two Mexican Federal Warrants for homicide cases were obtained for murders committed in Adams County, Colorado. One of these warrants was from a previous filing during the last months of the prior fiscal year in addition to the case filed for Adams County Sheriff's Office. This Unit also assisted in several requests regarding fugitives in Mexico or Mexican detainees and or victims/witnesses needed for trial in Colorado. Assistance was provided to Adams, Alamosa, Arapahoe, Boulder, El Paso, Jefferson, Larimer, Mesa, Montrose, and Weld Counties as well as Aurora, Brighton, Colorado Springs, Commerce City, Golden, Grand Junction, Greeley, Littleton, Sheridan, Thornton, and Westminster Police Departments with witnesses and/or persons of interest in criminal investigations.

In addition, FPU receives requests from Mexico to locate and detain murder fugitives seeking refuge in Colorado. With the cooperation of ICE and other law enforcement, three homicide suspects and one sexual assault on a child perpetrator were apprehended and returned to Mexico and are no longer a threat to Colorado citizens.

5. Auto Theft

The Auto Theft team comprises 2.5 FTE positions, which are funded by a grant through the Colorado Auto Theft Prevention Authority (CATPA). The Auto Theft team provides investigative and prosecutorial resources for combating complex statewide crimes which center on automobile theft. The general goals of the Auto Theft Team are: (a) to utilize the State Grand Jury, search warrants, and other criminal investigative tools to investigate

potential complex multi-jurisdictional automobile centered cases; (b) to vigorously prosecute defendants under applicable Colorado statutes and obtain criminal convictions, incarceration of offenders where appropriate, to seek restitution, fines, and penalties; and, (c) to protect consumers and to minimize losses through the deterrent effect of prosecuting violators.

6. Violent Crime Assistance Team

The Violent Crime Assistance Team (VCAT) is a two-attorney, one-investigator unit. VCAT was created by the Legislature in fiscal year 1994-1995 to assist District Attorneys by providing additional investigative and prosecutorial resources in their active, cold-case, and death-penalty-eligible homicides and to more effectively analyze and prosecute these cases. In addition to providing legal assistance in the prosecution of cases, the Unit has provided investigator assistance as well. VCAT's workload is dictated by the incidence of homicides, as well as District Attorney requests for assistance in other, complex violent crime cases.

The team has handled capital appeals in both the state and federal appellate courts, and post-conviction proceedings involving death row inmates. VCAT has performed a primary role in responding to legal and logistical needs during the execution process and is the only prosecutorial entity in Colorado, which provides expertise on capital cases from initial investigation through execution.

The VCAT attorneys and investigator only become involved in a case upon the request of the local District Attorney and the approval of the Attorney General. As anticipated, requests by state prosecutors to VCAT for consultation or other assistance was greater than the assistance that could be provided.

II) PRIOR YEAR LEGISLATION

HB 13-1230 *Concerning Compensation for Persons who are Exonerated of their Crimes after a Period of Incarceration...*, This bill creates a state compensation program for persons who are found actually innocent of felony crimes after serving time in jail, prison, or juvenile placement. This bill appropriated \$230K and 1.4 FTE to the Department of Law to address the requirements of this bill.

III) HOT ISSUES:

1) In early 2008, Governor Ritter ordered the Criminal Justice Section to undertake the investigation of the 1987 murder of Peggy Hettrick. This office is now tasked with a renewed investigation of that murder. Apart from the resources required to rework the entire investigation from the beginning in an effort to objectively consider all of the available evidence, the advent of modern DNA procedures and the costs associated with that science are significant factors in this renewed effort to solve this crime. This investigation continues to be a highly intensive effort that is using significant amounts of office resources and personnel time.

IV) WORKLOAD MEASURE:

WORKLOAD INDICATORS

1. Complex Crimes

Objective: To utilize the Statewide Grand Jury to a greater extent and obtain at least 10 felony indictments.

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|--|-----------------|-------------------|------------------|
| Grand Jury investigations initiated in FY. (Individuals) | 93 | 100 | 100 |
| Indictments obtained in FY (Individuals) | 62 | 70 | 70 |

Objective: To open 40 new investigation files, file 25 new cases in court and obtain at least 15 felony convictions.

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|--|-----------------|-------------------|------------------|
| Total new investigations opened (Individuals) | 102 | 120 | 120 |
| Total new cases filed (Individuals) | 88 | 95 | 95 |
| Felony convictions obtained* (Individuals) | 126 | 75 | 75 |
| Misdemeanor convictions obtained (Individuals) | 2 | 6 | 6 |
| Cases Closed | 90 | 80 | 80 |

***Numbers for felony convictions appear higher due to resolved cases being filed in prior fiscal year(s).**

Objective: To obtain restitution, fines and cost recoveries in appropriate cases

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|---------------------------------------|----------------|----------------|---------------|
| Fines, costs and restitution ordered. | \$3,216,846.73 | \$2,000,000 | \$2,000,000 |

Objective: To participate in multi-jurisdictional case-driven task forces and information exchanging work groups.

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|-------------------------------|--------------|----------------|---------------|
| Intergovernmental Cooperation | 15 | 12 | 12 |

Objective: To continue in multi-jurisdiction case-driven task forces and information exchanging work groups.

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|--------------------------|--------------|----------------|---------------|
| Training sessions given. | 31 | 30 | 30 |

2. Environmental Crimes

Objective: Maintain the effort of the Environmental Crimes prosecution emphasis to work in collaborative effort with local law enforcement, local emergency response personnel, District Attorneys, the Colorado Department of Public Health and Environment and the US Environmental Protection Agency.

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|---|--------------|----------------|---------------|
| Obtain federal funding and/or federal support as part of a cooperative state/federal criminal enforcement effort to combat environmental crimes within the borders of the State of Colorado | Yes | Yes | Yes |

Objective: To enforce compliance with existing environmental laws and regulations through investigation and prosecution of environmental crimes.

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|---------------------------|-----------------|-------------------|------------------|
| New investigations opened | 28 | 30 | 30 |
| New cases filed | 14 | 15 | 15 |
| Felony convictions | 9 | 10 | 10 |
| Cases closed | 24 | 25 | 25 |
| Jury trials | 1 | | |
| Court trials | 0 | | |

Objective: To increase the State of Colorado's participation in cohesive state and federal effort to combat environmental crimes.

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|---|-----------------|-------------------|------------------|
| Increase membership in state/federal task forces and unified state environmental programs | 4 | 4 | 4 |

Objective: To increase awareness of environmental criminal activity within the State of Colorado by conducting statewide training.

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|--|-----------------|-------------------|------------------|
| Conduct local/state/federal awareness training to entities concerned with Colorado's environment | 11 | 12 | 12 |

3. Gang Prosecution*

Objective: To prosecute gang members, with the State Grand Jury, for multi-jurisdictional criminal activities.

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|--|-----------------|-------------------|------------------|
| Gang* members investigated | 72 | 100 | 100 |
| Gang members indicted | 65 | 90 | 90 |
| Felony convictions obtained for gang members** | 113 | 50 | 50 |
| Number of years of Department of Corrections** | 328 | 200 | 200 |
| Trials | 7 | | |

* A “Gang” means a group of three or more individuals with a common interest or bond and whose activity is characterized by criminal or delinquent conduct.

** The timing of individual being convicted of a felony usually does not occur at the same time that the individual is sentenced. For example, if a defendant is convicted of a felony crime in May or June (end of a Fiscal Year) then the sentence will likely be imposed during the beginning of the next Fiscal Year. Therefore, the number of years in the Department of Corrections that will be imposed by trial courts for offenders who plead guilty in that later part of FY 13 will likely be higher in the FY 14 report.

4. Foreign Prosecution

| Workload Measure | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|----------------------------------|-----------------|-------------------|------------------|
| Active Cases | 16 | 14 | 14 |
| Article IV cases filed in Mexico | 1 | 2 | 2 |
| Extraditions/assists | 19 | 12 | 12 |

5. Auto Theft

| Workload Measures | | Actual FY13 | Estimate FY14 |
|---|--------|----------------|------------------|
| Measure 1.1 Criminal Investigations Opened (Individuals) | Target | 4 | 4 |
| | Actual | 3 | |
| Measure 1.2. Criminal Cases Filed (Individuals) | | 9 | 10 |
| Measure 1.3 Jury trials | | 1 | 3 |
| Measure 1.4 Convictions Obtained | | 8 | 13 |
| Measure 1.4 Restitution Ordered | | | |
| | Actual | \$552,657.47 | |
| Measure 1.6 Publicize Prosecutions | Target | 4 | 4 |
| | Actual | 2 | |

6. Violent Crime Assistance Team

Objectives: The objective of the Unit is to assist local District Attorneys in the investigation, evaluation, and prosecution of homicides and serious violent crimes. If the death penalty is sought, the Team provides prompt and effective assistance to the local District Attorney in filing and arguing motions, and trying cases, as requested by the local jurisdiction.

| Workload Measure | FY 13 Actual | FY 14 Estimate |
|---|-------------------------------|-------------------|
| Cold Case and Active Homicide/Serious Violent Crime Assistance (special deputy) | 7* | 15 |
| Cold Case and Active Homicide/Serious Violent Crime Assistance (investigator)# | 12** | |
| Capital Case Assistance | 6*** | 3 |
| Inquiries from Prosecutors Answered, Number of Judicial Districts# | 85 from 15 Judicial Districts | |
| Lectures, Classes, Practicums, Given by Members of Unit# | 24 | |
| Task Force; Review Teams# | 3 | |

*** Bessey I, Garcia, Hampson, Mueller, Martinez, Hettrick, Bessey II**

**** Parris, Burt, M. Bueno, Trujillo, Barros, Clyborn, Schoup, Pruzinski, Neal, Bessey I, Bessey II, Medina**

*****Dunlap, Ray, Owens, Holmes, Montour, Perez**

I) BACKGROUND INFORMATION: INSURANCE FRAUD UNIT

Colorado residents pay billions of dollars annually in insurance premiums. When insurance fraud in its many varied forms occurs, those costs are not only borne by the insurance companies but they are passed on to Colorado citizens in the form of higher premiums and insurance costs. Typical criminal conduct in this area involves staged automobile accidents, theft of premiums, fraudulent claims, contractor fraud and overbilling for services. The Insurance Fraud Unit has been tasked with the investigation and vigorous prosecution of criminal offenses relating to insurance fraud. Charges often involve crimes such as theft, forgery, conspiracy counts and in some instances racketeering. The Unit was recently expanded and now consists of four attorneys, six investigators, one full time paralegal, one half time paralegal, and half of the time of an administrative assistant. This Unit is uniquely situated to handle insurance fraud cases and our staff is highly regarded statewide due to their expertise in this area. Staff of the Insurance Fraud Unit share their expertise in this arena and provide assistance to other investigators and law enforcement throughout the state.

The Insurance Fraud Unit is funded by an industry assessment through the Division of Insurance of the Colorado Department of Regulatory Agencies. The Insurance Fraud Unit provides investigative and prosecutorial resources for combating insurance fraud statewide. The general goals of the Insurance Fraud Program are: (a) to investigate allegations of insurance fraud by utilizing the appropriate tools available to members of the unit including the Statewide Grand Jury, search warrants and other investigative techniques; (b) to vigorously prosecute defendants under applicable Colorado statutes and obtain criminal convictions and just sentences of offenders where appropriate. This includes seeking restitution, fines, and penalties where appropriate; (c) to protect Colorado insurance consumers and to minimize losses to Colorado citizens; and (d) to make the public aware of the Unit's prosecutorial actions in order to educate and generally deter insurance fraud.

During FY13, the Unit saw a dramatic increase in referrals. Most of these referrals were channeled to the Unit by the Colorado Division of Insurance and the National Insurance Crime Bureau (NICB). In addition, the Unit investigated and responded to a number of citizen complaints as well. The Unit continues to work larger, more complex cases both internally and in conjunction with other investigative agencies. In the last fiscal year, the Unit conducted several large and complex investigations in the Statewide Grand Jury and obtained grand jury indictments.

In FY13, the Unit opened 116 new investigations after screening all of the case referrals. The Unit filed a total of 28 new cases in Colorado courts.

Cases were filed in Adams, Arapahoe, Boulder, Broomfield, Denver, Douglas, El Paso, Jefferson, Mesa, Montrose, Pueblo, and Weld counties. In FY13 the Unit recorded the following number of cases per category among those investigated: 12 homeowners insurance, 9 liability insurance, 3 workers compensation insurance, 3 commercial liability insurance, 17 cases involving insurance agents or brokers, 41 automobile insurance, 9 accident and health insurance claims, 3 title insurance, and 20 miscellaneous cases.

Noteworthy cases for FY13 include the following:

Lilly Queen owned a restaurant and was injured in an automobile accident. She claimed she was engaged in work for the business at the time of the accident and thus entitled to collect benefits. Investigation revealed that she was on a personal errand (returning from a massage appointment) at the time of the accident and fell-asleep at the wheel. The insurance company paid for medical treatment and other costs in the amount of \$17,650. She plead guilty to a felony.

Candice Jenkins repeatedly misrepresented to the Department of Labor and Employment that she had not been employed during an 18-month period while she received unemployment insurance benefits. She was charged with multiple counts of Theft, Computer Crime, Forgery, Tax Evasion and Failure to File a Tax Return. She pleaded guilty to theft and false statement on an unemployment claim. She was sentenced to 5 years of probation and 45 days jail. She was also ordered to pay restitution, penalties and fees totaling \$21,117.

Ann Phelps owned an insurance agency and had a number of employees. She collected \$27,780 from her employees to have them covered under *Errors and Omissions Malpractice Insurance*. However, she did not have the insurance put into place on behalf of her employees and kept the money for herself. She issued her employees false Declaration Sheets of Insurance that she created. She pled guilty to forgery and paid all the money back to her employees. She received two years supervised probation.

The Unit continues to see a large number of staged accidents of motor vehicles. In terms of loss, The Unit has been attempting to coordinate the investigations of staged accident rings with the dozens of insurance companies affected. By also working with the National Insurance Crime Bureau, the Unit has been able to leverage its unique expertise to initiate investigations based upon analysis of crime patterns and the assimilation of insurance data. Many times insurance crimes goes unnoticed by individual insurance companies because the claim losses are spread out among different companies. The Insurance Fraud Unit is changing that.

During FY13, the Unit supervised the restitution payments of 48 defendants on probation for insurance fraud-related crimes. \$253,077 in restitution was collected in FY13. Additionally, the Unit achieved new restitution orders totaling \$3,162,077.

IV) WORKLOAD MEASURE:

WORKLOAD INDICATORS

| Workload Measures | | Actual FY12 | Actual FY13 | Estimate FY14 | Request FY15 |
|--|--------|----------------|----------------|------------------|-----------------|
| Measure 1.1 Criminal Investigation Opened | Target | 80 | | 80 | 80 |
| | Actual | 74 | 116 | | |
| Measure 1.2. Criminal Cases Filed | Target | 20 | | 28 | 33 |
| | Actual | 20 | 28 | | |
| Measure 1.3 Jury trials | Target | 2 | | 2 | 2 |
| | Actual | 1 | 0 | | |
| Measure 1.4 Convictions Obtained | Target | 22 | | 22 | 22 |
| | Actual | 28 | 21 | | |
| Measure 1.4 Restitution Ordered | Target | \$450,000 | | \$450,000 | \$450,000 |
| | Actual | \$648,347 | \$3,162,077 | | |
| Measure 1.6 Publicize convictions obtained | Target | 5 | | 5 | 5 |
| | Actual | 3 | 2 | | |

*Estimates include future Worker's Compensation investigations, cases filed and convictions obtained.

Performance Measures

1. Complex and/or multi-jurisdictional fraud investigations and prosecutions

Objective: To conduct a statewide program for investigating and prosecuting violations of applicable state laws pertaining to fraud relating to insurance which local jurisdictions would be unable to effectively handle.

| Performance Measures | | Actual FY12 | Actual FY13 | Estimate FY14 | Request FY15 |
|--|--------|----------------|----------------|------------------|-----------------|
| Measure 1.1 Investigate multi-jurisdictional insurance fraud cases in the grand jury, and obtain indictment where appropriate. | Target | 4 | 4 | 4 | 4 |
| | Actual | 0 | 9 | | |
| Measure 1.2. Restitution actually collected pursuant to court order | Target | \$100,000 | | 100,000 | 100,000 |
| | Actual | \$209,063 | \$253,077 | | |

Strategy: The Unit receives referrals from numerous sources. Fraud referrals often require substantial investigation, and some investigations take months or in rare cases even years. Many of the investigations result in charges. However, some of the fraud referrals once they are fully investigated do not result in criminal charges. This is a common part of the criminal investigation process and can be due to a variety of factors including a lack of provable criminal intent, jurisdictional issues, ambiguous documentation or inconsistencies or vagueness in the applicable rules.

The Unit endeavors to be expeditious and responsive when reviewing referrals, opening investigations, and bringing cases through the court system. The Unit will occasionally partner with outside law enforcement agencies to prosecute cases when appropriate.

The statewide grand jury is a powerful investigative tool exclusively available to the Colorado Attorney General's Office. The Unit had a number of complex and multi-jurisdictional cases that were submitted to the grand jury in FY 13. Indictments were obtained on all of these cases.

It is anticipated that there will continue to be significant insurance fraud cases submitted to the grand jury in FY 14. Some notable cases that resulted in grand jury indictments in the past year include:

- A large scale staged accident ring where car crashes were being staged and then fraudulent claims being submitted to insurance companies. Six people were indicted.
- An office manager for a dental practice submitted false billing codes to insurance companies to inflate payouts. One person was indicted and convicted of a felony.
- A sophisticated real estate operation where the defendants misappropriated escrowed money from real estate closings and converted them to other business interests causing multi-million dollar losses to a title insurance company. Another part of the scheme involved mortgage fraud through falsifying real estate documents. Two people were indicted. Restitution totaled over \$2.9 million.

Evaluation of Prior Year Performance: The significant increase in restitution ordered helps illustrate that the Unit is meeting the goal of prosecuting more serious cases.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table. Indicators show that the Unit has the ability to handle both routine cases and the more complex cases that are highly demanding of employee time.

2. Case openings, assignments, and processing

Objective: To quickly process referrals once they are received in Unit and, after initial review, continue the procedure of assigning the responsibility of each case to a team of at least one investigator and one prosecutor, and holding periodic status meetings and preparing reports on each case.

| Performance Measures | | Actual FY12 | Actual FY13 | Estimate FY14 | Request FY15 |
|---|--------|----------------|----------------|------------------|-----------------|
| Measure 2.1 Assign an investigator and attorney at the time of case opening | Target | 80 | | 80 | 80 |
| | Actual | 74 | 116 | | |
| Measure 2.2 Update case status reports monthly and provide reports to Division of Insurance | Target | 12 | 12 | 12 | 12 |
| | Actual | 12 | 12 | | |

Strategy: As the Unit's case load has varied from simple to more complex cases, it has become increasingly important for the Unit to promptly assign cases to the assigned investigators and attorneys, and to monitor and assess the progress of each case on a monthly basis.

Evaluation of Prior Year Performance: Assignments and monitoring have proceeded according to the standards set, and this has assisted the Unit in keeping its cases progressing satisfactorily.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table.

*****NOTE: removed all workers comp material. I do not think this needs to be included anymore.**

I) BACKGROUND INFORMATION: SECURITIES FRAUD UNIT

Colorado citizens are swindled out of millions of dollars each year through securities fraud. Securities fraud takes many forms including pyramid or “Ponzi” schemes, oil and gas investment schemes, and “fix-and-flip” housing scams, among others. The cases handled by the Securities Fraud Unit generally involve the prosecution of individuals who solicit and receive investor money for investment schemes that are not regulated. In addition, the Securities Fraud Unit has recently ventured into new territories such as securities fraud related to marijuana dispensaries. Our aging populations are often specifically targeted by those seeking to perpetrate investment crimes and the resulting losses to retirement funds and life savings can be catastrophic. In addition, due to the impending explosion of baby boom generation retirements the potential for losses is ever increasing. The Attorney General has been granted the authority to aggressively prosecute criminal violations relating to securities and securities fraud. The Office of the Attorney General is recognized statewide for its expertise on securities fraud matters and works many high profile cases. The Unit independently investigates, charges when necessary, and prosecutes securities violations statewide. The Securities Fraud Unit frequently utilizes the Statewide Grand Jury for these sophisticated and complex cases. The Securities Fraud Unit closely collaborates with the Colorado Division of Securities and Colorado law enforcement agencies to prosecute these crimes. The Unit is made up of two attorneys, two investigators, a paralegal and half of the time of an administrative assistant.

The Securities Fraud Unit is funded by an industry assessment through the Division of Securities of the Colorado Department of Regulatory Agencies. The Attorney General’s Office has original jurisdiction to both investigate and prosecute crime relating to securities. The Securities Fraud Unit provides investigative and prosecutorial resources for combating securities fraud statewide. The general goals of the Securities Fraud Program are: (a) to investigate allegations of insurance fraud by utilizing the appropriate tools available to members of the unit including the Statewide Grand Jury, search warrants, and other investigative techniques; (b) to vigorously prosecute defendants under applicable Colorado statutes and obtain criminal convictions and just sentences of offenders where appropriate. This includes seeking restitution, fines, and penalties where appropriate; (c) to protect consumers and to minimize losses to victims; and (d) to make the public aware of the Unit’s prosecutorial actions in order to educate and generally deter securities fraud violations.

II) PRIOR YEAR LEGISLATION

NA

III) HOT ISSUES

Major fraud cases:

Martin Hutcheson solicited nearly \$1.4 million dollars from investors, all of whom received a promissory note as evidence of their investment in either the sale of boat slips and property development in Lake of the Ozarks, Missouri, or for the purchase of distressed residential properties for the purpose of rehabilitating and reselling them at a profit. Hutcheson never used any of the investor funds to purchase boat slips in the Ozarks development project, and he did not use the residential real estate investor funds as represented. He failed to disclose investment risks to potential investors, and he failed to inform them of his prior personal history, which included two bankruptcies and two felony theft convictions in Larimer and Weld counties. Hutcheson was convicted of two counts of securities fraud. He was sentenced to 12 years in the Colorado Department of Corrections, and was ordered to pay \$1,076,718.00 in restitution to 12 victims.

Stanley Anderson had a number of Colorado business interests including tax services, a trucking company, a cleaning company as well as management companies. He solicited approximately 30 Colorado investors to invest in these interests promising high rates of return of up to 35%. Anderson told investors that he would use the money to purchase trucks and offer high interest loans. Instead, Anderson used the money for his own personal expenses and to pay back prior investors. Anderson was convicted of securities fraud and was sentenced to 11 years in the Colorado Department of Corrections. In addition, he was ordered to pay \$1,774,168.91 in restitution to 25 victims.

This Unit has especially benefitted from the services of a forensic auditor to aid in both the investigation and prosecution of securities fraud. The Unit can move expeditiously on investigating cases with the expertise of the auditor and can better explain how money was used or misappropriated.

IV) WORKLOAD MEASURE:

WORKLOAD INDICATORS

| Workload Measures | | Actual FY 12 | Actual FY13 | Estimate FY14 | Request FY15 |
|--|---------------|-------------------------|------------------------|--------------------------|-------------------------|
| Measure 1.1 Criminal Investigations Opened | Target | 12 | 8 | 8 | 8 |
| | Actual | 6 | 18 | | |
| Measure 1.2. Criminal Cases Filed | Target | 12 | 10 | 10 | 10 |
| | Actual | 8 | 10 | | |
| Measure 1.3 Jury trials | Target | 2 | 2 | 2 | 2 |
| | Actual | 1 | 1 | | |
| Measure 14. Convictions Obtained | Target | 10 | 10 | 8 | 8 |
| | Actual | 6 | 7 | | |
| Measure 1.5. Restitution Ordered | Target | \$5,000,000 | \$5,000,000 | \$5,000,00 00 | \$5,000,0 00 |
| | Actual | \$11,023,182 | \$4,283,094 | | |
| Measure 1.6. Media items published | Target | 15 | 15 | 8 | 8 |
| | Actual | 17 | 8 | | |

Statistics kept by the Unit indicate that the workload of the Unit is continuing to trend toward investigating and prosecuting fewer yet larger and more significant cases. The Unit's new restitution orders were made on behalf of 100 victims this year.

PERFORMANCE MEASURES

1. Complex and/or multi-jurisdictional fraud investigations and prosecutions

Objective: To conduct a statewide program for investigating and prosecuting violations of applicable state laws pertaining to securities fraud which local jurisdictions would be unable to effectively handle.

| Performance Measures | | Actual FY 12 | Actual FY13 | Estimate FY14 | Request FY15 |
|---|---------------|-----------------|----------------|------------------|-----------------|
| Measure 1.1 Investigate multi-jurisdictional Securities fraud cases in the grand jury, and obtain indictment where appropriate. | Target | 6 | 6 | 6 | 6 |
| | Actual | 7 | 6 | | |
| Measure 1.2. Restitution actually collected pursuant to court order | Target | \$250,000 | \$250,000 | \$250,000 | \$250,000 |
| | Actual | \$182,928 | \$135,859 | | |

Strategy: The Unit receives referrals from numerous sources. The Division of Securities refers approximately 50% of the Unit's cases to our office. Private attorneys, law enforcement and private citizens also refer cases to the Unit. Fraud referrals often require substantial investigation, and most investigations take months or years. Some of the fraud referrals, once investigated, do not result in criminal charges. This is due to various reasons, including lack of provable criminal intent, inconsistencies or uncooperative victims and witnesses, or statute of limitations problems when cases are brought to our attention years after the criminal behavior. The Unit had 7 referrals for the year.

Evaluation of Prior Year Performance: The unit's numbers were fairly similar for the past two years; while the case numbers are low, the sentences and restitution figures reflect the complexity and size of the cases prosecuted. However, the Unit has seen a dramatic increase in the number of criminal investigations opened. This is a reflection on greater cooperation with partner law enforcement and regulatory agencies. Additionally, Colorado victims across the Front Range are well represented in that cases prosecuted this year involve Larimer, Arapahoe, Adams, Jefferson, Denver, Broomfield, Douglas and El Paso counties.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table. Indicators show that the Unit has the ability to handle the more complex cases that are highly demanding of employee time.

2. Case openings, assignments, and processing

Objective: To quickly process referrals once they are received in the Unit and, after initial review, continue the procedure of assigning the responsibility of each

case to a team of at least one investigator and one prosecutor, and holding periodic status meetings and preparing reports on each case.

| Performance Measures | | Actual FY12 | Actual FY13 | Estimate FY14 | Request FY15 |
|--|---------------|----------------|----------------|------------------|-----------------|
| Measure 2.1 Assign an investigator and attorney at the time of case opening | Target | 12 | 12 | 8 | 8 |
| | Actual | 6 | 17 | | |
| Measure 2.2 Update case status reports monthly and provide reports to Division of Securities | Target | 12 | 12 | 12 | 12 |
| | Actual | 12 | 12 | | |

Strategy: As the Unit's case load and referrals is often fluctuating, monthly reports are created and sent to the Division of Securities and the Unit meets routinely to discuss ongoing investigations and cases to ensure cases keep moving forward.

Evaluation of Prior Year Performance: The Unit has seen an increase in the number of referrals this fiscal year from the Division of Securities and from other sources.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table.

D) BACKGROUND INFORMATION: APPELLATE DIVISION

Structure:

General fund unit headed by the Deputy Solicitor General.
34 attorney FTE's and 3 support staff.

Primary duties. The Division represents the prosecution when defendants challenge their felony convictions before state and federal appellate courts.

For the most part, the Division responds to appeals that are brought on behalf of convicted criminals, and thus has no control over the size of its incoming caseload. That caseload has consistently exceeded the Division's ability to keep pace, and each year Division attorneys have fallen farther behind.

Most of the cases handled by the Appellate Division are in the Colorado Court of Appeals; the rest are Colorado Supreme Court and the federal district and appellate courts. For each case, an Appellate Division attorney must review the trial court record and the brief filed by the defense, do legal research into the defendant's claims, and file a response. In a small number of cases, the Appellate attorney will be filing the first (or opening) brief rather than a response. In FY 2013, Appellate Division attorneys filed 885 opening and response briefs. On some appeals, the attorney must also argue the case before an appellate court; appellate attorneys conducted 110 such arguments in FY 2013.

The Division must provide effective and ethical representation in every case and handle whatever issues are presented in as timely a manner as possible. At times, however, it must take the offensive and seek certiorari review in the Supreme Court when (1) the court of appeals issues an opinion that appears to be contrary to established law and/or would have an adverse impact on law enforcement; or (2) conflicting decisions from the court of appeals emphasize the need for clarification in particular areas of the law.

The outcome of any given case may significantly affect how law enforcement authorities conduct searches and arrests; influence criminal trials and sentencing hearings throughout the state; or impact the state's Department of Corrections, and probation, parole, and county Community Corrections programs. As such, each case must be given thorough and careful attention.

In order to do the best job possible, appellate and trial prosecutors must keep current on ongoing developments in criminal law and procedure. In addition to writing briefs and presenting oral arguments, Division attorneys condense the critical principles embodied in each published case, and classify and incorporate them into a digest that is transmitted weekly to the division's attorneys and to the Offices of the State's District Attorneys. This digest is available to state prosecutors through a web site posting that is updated monthly. Division attorneys also participate in training sessions for the DA's throughout the year and at the annual CDAC conference in September.

Critical issues. The Division’s obligation to effectively represent the interests of the People must be balanced with the need to process cases as quickly and efficiently as possible.

The Division officially “opens” a case when it receives an opening brief from the defense. In FY 2013, the Division opened 1018 new appeals, an average of 85 new cases per month. On average, Division attorneys can generate approximately 75 responses per month.

The Colorado Appellate Rules provide that a response (or answer brief) should be filed within 35 days after service of the opening brief. As of the end of FY 2013, the Division had 564 cases awaiting a response. Meeting a 35-day turnaround is impossible.

| | Cases Opened | Briefs Filed by Division | Cases Resolved Other Ways | Backlog |
|-------|---------------------|-------------------------------------|--|----------------|
| FY 08 | - | - | - | 280 |
| FY 09 | 1240 | 1029 | 87 | 395 |
| FY 10 | 1152 | 1054 | 62 | 434 |
| FY 11 | 1050 | 1021 | 66 | 398 |
| FY 12 | 1171 | 894 | 67 | 608 |
| FY 13 | 1018 | 885 | 163 | 564 |

Over time, the Division has been severely impacted by huge incoming numbers, exacerbated by inadequate resources to generate an output equal to the number of cases received. The Division has experienced high turnover, as many Division attorneys who were hired in at entry level and were frozen at that low level for many years left for higher-paying positions. Hiring takes time and staff resources, and new attorneys require more oversight and cannot generate quality briefs as quickly as senior attorneys.

As a result, the backlog of cases needing attention grew significantly for several years, which increased the time it took for Division lawyers to process appeals. In FY 2013, despite some turnover and the retirement of a senior attorney, the Division was able to reduce the backlog by 34 cases, a decrease of 6%. This gain was helped by lower incoming numbers and the cases resolved via the experimental docket (see below).

Reducing the backlog, reducing the length of time it takes to process our appeals, and getting the Division back on track to keep pace with incoming cases must be the Division’s top priorities.

III) HOT ISSUES:

Appellate’s biggest challenge has always been how to keep pace with an unpredictable incoming caseload, while also trying to pare down the pending caseload. The state appellate courts (and particularly the Court of Appeals) have traditionally recognized the difficulties posed by our workload and have been generous in granting extra time to file our briefs. However, the Court of Appeals is catching up on its caseload thanks to the addition of more judges, and has indicated that it wants to reduce the amount of time it takes for cases to pass through the appellate system. The Division agrees that it is to everyone’s benefit to have appellate cases resolved as quickly as possible, while giving those appeals the attention they deserve. We can expect to see continuing pressure by the Courts for a faster turnaround on our appeals.

The United States Court of Appeals for the Tenth Circuit Court has concluded that “delay in adjudicating a direct criminal appeal beyond two years from the filing of the notice of appeal gives rise to a presumption that the state appellate process is ineffective. *Harris v. Champion*, 15 F.3d 1538, *1556 (10th Cir. 1994). Thus, in any federal habeas corpus cases we are involved in, we may have to overcome that presumption. The task is not impossible, but time devoted to overcoming the presumption is time not spent on other cases. Indeed the time we spend “managing delays” (repeatedly requesting additional extensions of time and overcoming these presumptions) takes time away from work on substantive claims and has a snowball effect.

We have not yet had a conviction vacated in state or federal court because of inordinate delay on appeal, but each year we are faced with more such claims than in the past.

IV) WORKLOAD MEASURE:

Work on these appeals involves additional activities that vary by case, including monitoring case files; writing and responding to motions; conducting legal research; filing supplemental pleadings such as petitions for rehearing and petitions for certiorari; and preparing for and orally arguing the merits of the appeal before an appellate court(s). Oral arguments involve significant prep time, so an increase in arguments directly impacts brief production.

| Workload Measure | | FY 12 Actual | FY 13 Actual | FY 14 Estimate | FY15 Request |
|------------------|--|-----------------|-----------------|-------------------|-----------------|
| Incoming cases | | 1171 | 1018 | 1100 | 1200 |
| | | | | | |
| Oral arguments | | 115 | 110 | 120 | 130 |
| | | | | | |

CORE OBJECTIVES AND PERFORMANCE MEASURES

Objective: Produce quality briefs appropriately tailored to the seriousness of the offense/appellate challenge while maintaining or improving success rate. As a performance measure, the most quantifiable indicator may be “Cases Resolved,” which reflects the number of briefs filed plus the cases decided by the Court of Appeals via its expedited docket (which issues opinions in simple cases without the need for an AG response) or otherwise resolved.

| Performance Measure | Target | FY 12 Actual | FY 13 Actual | FY 14 Estimate | FY15 Request |
|---|--------|-----------------|-----------------|-------------------|-----------------|
| Briefs filed annually | | 894 | 885 | 950 | |
| Cases resolved (i.e. total of briefs filed and cases decided via expedited docket or otherwise resolved) annually | | 961 | 1048 | 1000 | |
| Percentage of cases with a successful outcome on appeal annually | 90% | 91.2% | 91% | 90% | 90% |

Performance Evaluation:

Over the past two years, the Division has met its goal of preserving at least 90% of the convictions challenged on appeal.

It has not done as well meeting its caseload. Although the Division has implemented a variety of strategies for increasing efficiency, circumstances beyond its control have hindered that effort. Large incoming numbers, increasingly complex cases, and high turnover resulting in a high percentage of junior attorneys have combined to cause the Division to fall further and further behind each year. This past year, however, due to a combination of factors, the Division was able to counteract this trend and to **reduce** the backlog by 6% (34 cases).

Strategy:

The strategy of the Division is do whatever it can to resolve cases in a timely fashion while providing quality representation of the state's interests. Toward that end, it is critical that the Division reduce the backlog to a manageable level.

1. The Division was awarded six new attorney FTE for FY 2014, two permanent and four short term (three positions end in FY 2018 and one in FY 2017). It is anticipated that, once these positions are filled and the attorneys trained, Division attorneys can begin making significant inroads on the backlog.
2. Cases are channeled within the Division as efficiently as possible so that the best people for the job are working on particular cases. Many Division attorneys have developed special expertise, and to the extent possible, supervisors channel cases dealing with particular subject areas to those with expertise (few cases, however, ever consist of single issues). Resource materials (a brief bank, outlines, etc.) are compiled and updated to provide starting points and shortcuts for research, and senior staff provide mentoring and oversight so that junior staff get on the right track quickly and efficiently.
3. At the end of FY 2012, the Division worked with the Court of Appeals on a procedure for an "experimental docket" in which Division attorneys screened cases and filed abbreviated pleadings short of thorough briefs. This was designed to expedite the small percentage of cases that could be dealt with easily and effectively without full briefing, thereby increasing Division and court efficiency and expediting case processing. In FY 2013, 91 cases were resolved via the experimental docket. However, many of the judges on the Court of Appeals did not like this practice, as they felt it put them in the role of advocates rather than neutral adjudicators. In addition, it necessitated much more work by the Court's staff attorneys, which also did not work well for the Court. As a result, the Chief Judge has advised the Division that the experimental docket will be discontinued at the end of the 2013 calendar year. The cases that had been diverted to the experimental docket will now need to be absorbed back into the general caseload.
4. The Deputy Solicitor General has organized a working group consisting of representatives from the Appellate Division, the appellate court clerks, the Court of Appeals, the Public Defender's Office, and the Office of Alternate Defense Counsel to discuss matters of mutual concern and how we might streamline our interaction for maximum efficiency. The group meets every other month and has come up with a number of changes that have been beneficial to all involved.

I) BACKGROUND INFORMATION: VICTIM ASSISTANCE UNIT.

Under Colo. Const. Art. II, § 16a and Colo. Rev. Stat. §§ 24-4.1-302, *et seq.*, victims of certain crimes have the right to be informed of and present for each critical stage of the criminal justice process. To this end, law enforcement agencies are required to provide certain services to all victims of crime against persons. The Department of Law Victim Services is provided by 1 FTE, the Victim Services Coordinator. The Victim Services Coordinator carries out these duties for both the (Criminal) Appellate Division and the trial prosecutions within the Criminal Justice Section in the Attorney General's office. The Coordinator helps over 1200 victims each year. These duties entail providing status information, explanations, court accompaniment, referral, and liaison services to crime victims in Appellate, Special Prosecutions, Medicaid fraud, and, occasionally, capital cases. Also, under Sec. 18-3-417, when there is a disciplinary case involving a licensed professional who is accused of a sex offense, the Victim Services Coordinator advises the victim of the alleged offense of their right to pursue criminal and civil action and provides the victim with information about the Colorado Victim Rights Act.

The Coordinator provides status information, explanations, court accompaniment, referral, and liaison services to the victim as his or her case progresses through the "critical stages" of the criminal justice process. Depending on whether the case is an appellate or trial-level proceeding, the critical stages and nature of additional services vary. These services are non-duplicative of any other services in the state. The VSC enables crime victims and their families to keep informed about the legal events in their cases.

The Victim Services Coordinator works cooperatively with the Victim Assistance Coordinators in all of the District Courts in Colorado, with Victim Compensation, with the Victim Advocates in the Departments of Correction, Probation, Parole, and with community-based victim programs throughout the state.

II) PRIOR YEAR LEGISLATION

The Victim Assistance Unit did not sponsor or promote any legislation in FY 2011-2012.

III) HOT ISSUES

People v. Nathan Dunlap

In 1996, an El Paso County jury sentenced Nathan Dunlap to death for the 1993 execution murders of four employees- and the attempted murder of a fifth employee - three of whom were teenagers--of an Aurora Chuck E Cheese's children's restaurant.

During the years between the 1996 conviction and death sentence and this fiscal year, the Attorney General's office has prosecuted the appeals of multiple state level appeals, in

the Court of Appeals and the Colorado Supreme Court. There have been motions before the Federal Court and the U.S. Supreme Court.

The Victim Services Coordinator has kept 17 family members informed of the legal events on appeal, and has communicated with Arapahoe County District Court when the motions are at the District Court.

Most recently, the defendant came to the end of his state and Federal appeals, and an execution week was set by a judge in Arapahoe County District Court. Soon afterward, Governor Hickenlooper requested a meeting with the victim families and a separate meeting with the prosecutors and investigators. After listening and considering various experiences and points of view of thirty family members, the Governor invited the victim families and the Victim Services Coordinator to his office to hear his decision on the execution of Nathan Dunlap. Those victims who could not attend in person attended on a conference call. The Governor explained that he was granting Mr. Dunlap a “reprieve”.

The Victim Services Coordinator attended with the victims all of the various legal events – sentencing, family meeting with the Governor, and the meeting where he announced his decision. It has been a difficult time for these families, and ongoing support was needed during these critical months.

People v. James Whitler

In May, 2009, a Fort Collins process server attempted to serve divorce papers and a restraining order on James Whitler. When officers responded to the estranged wife’s frantic phone calls from next door, they found the body of the process server upstairs. He had been bludgeoned with a baseball bat and stabbed with a knife. When the officers went downstairs, they found the defendant attempting to strangle to death his own two children, a 10 and 12 year old son and daughter. Officers tazed him and stopped him before they were dead.

Whitler was convicted of first degree murder and two counts of attempted murder and two counts of child abuse. When the criminal appeal came to the Attorney General’s Office, the Victim Services Coordinator provided support and information to the defendant’s ex-wife and two children, and to the family of the deceased process server. The VSC accompanied the victims when the case went to oral argument in the Court of Appeals in February 2013. The Court of Appeal affirmed all convictions and sentences in March.

I) BACKGROUND INFORMATION: MEDICAID FRAUD UNIT

The Medicaid Fraud Control Unit (“MFCU”), authorized for 17 FTE positions, defends the financial integrity of the state’s Medicaid program and the safety of patients in Medicaid-funded facilities. The MFCU investigates and prosecutes fraud by providers against the Medicaid program and patient abuse in Medicaid-funded facilities throughout the state. It also pursues civil recoveries and damages against providers under the Colorado Medicaid False Claims Act, which became law on May 26, 2010. By law, the Unit is separate from and independent of the state agency (Colorado Department of Health Care Policy and Financing, HCPF) that administers Colorado’s Medicaid program. By federal law and State Executive Order D1787, the Unit has statewide investigation and prosecution authority, which occurs with the cooperation and assistance of the 22 local District Attorneys across the state.

The Colorado Medical Assistance Program (Medicaid) provides medical assistance to low income, disabled individuals, children and families in Colorado, paying approximately \$5.4 billion each fiscal year to over 10,000 participating Colorado Medicaid providers (such as nursing homes, physicians, psychologists and mental health therapists, dentists, pharmacies, laboratories, hospitals, clinics and durable medical equipment companies) on behalf of over 900,000 recipients enrolled in its various programs. Accordingly, Medicaid is one of the two largest items in the current Colorado state budget.

Colorado Medicaid is jointly funded by the federal and state governments at an approximate 50/50 split. The MFCU receives 75% of its funding from the federal government, but in most cases a full 50% of the monies recovered by the Unit are returned to the state. During FY 2013, the MFCU returned **\$16,408,813.91** in restitution and recoveries to the Medicaid program in Colorado. Recoveries are accomplished through criminal restitution orders, settlements with providers, and participation with other state MFCUs in civil and criminal litigation, termed “global” cases, over alleged fraud against state Medicaid programs nationwide.

This past fiscal year, under the False Claims Act, the MFCU obtained civil fraud recoveries to supplement its criminal authority. In FY 2013, the MFCU recovered **\$468,028.84** in civil recoveries for local fraud and overpayments unrelated to the global cases, far in excess of the **\$69,145.00** of state money appropriated for its civil unit.

A large majority of health care providers deliver reasonable and honest services, and bill appropriately. Fraud in the delivery of health care services has been estimated nationally at **3.0%** (testimony of the Inspector General of Health and Human Services before the Senate Special Committee on Aging, May 6, 2009), although in 1999 it was estimated in Colorado at **1.8%**. Recognizing that any amount is too much, it is the goal of the MFCU to effectively investigate, prosecute and to recover overpayments. Modern Medicaid fraud is typically a complex, multi-party (and sometimes multistate) white-collar crime which requires sophisticated investigative and prosecution personnel, who are well-trained and experienced in the white-collar crime arena.

II) PRIOR YEAR LEGISLATION

During fiscal year 2013, the Medicaid Fraud Unit wrote and introduced a bill in the Colorado Legislature to amend the state's Medicaid False Claims Act to qualify the state for the incentive provided by the Deficit Reduction Act and section 1909 of the Social Security Act. Working with the Attorney General's Legislative Liaison and with counsel from the Office of Counsel to the Inspector General, HHS (OCIG), the MFCU addressed all of the issues previously raised by the OCIG when the state's Act was disapproved in 2011. The bill passed, and the statute has been re-submitted to the OCIG for its approval.

Also in fiscal year 2013, the MFCU was instrumental in the introduction and passage of new legislation that enhances elder protection statutes in Colorado by requiring health workers and other professionals to report physical and financial abuse of elderly persons. Colorado was one of only three states that did not have some form of mandatory elder abuse reporting.

III) HOT ISSUES

Major fraud cases: In the past fiscal year, the MFCU has continued to investigate and prosecute medical supply and equipment cases with significant losses, and more such cases are under investigation.

False Claims: With the passage of the Medicaid False Claims Act, the State of Colorado has been named as a party plaintiff in approximately 225 global cases, resulting in a sharp increase in the MFCU's case load and activity level. As mentioned above, the MFCU has also recovered substantial funds from local civil cases, some of which were generated within the MFCU and some of which were the result of referrals from outside sources.

Initiatives and directions: The MFCU has begun initiatives to combat certain types of fraud that are prominent in Colorado. These frauds include overbilling in home health and Home and Community Based Services (HCBS), upcoding of services by physicians and other professionals, and expense reporting in nursing home cost reports. The MFCU is reviewing home health and home-based services providers for potential fraud whenever their billed service dates coincide with recipients' hospitalization, and has opened numerous cases in fiscal year 2013. The MFCU has also been determining the cost report impact of any nursing home professional discovered to not have been duly licensed in their field, and demanding repayment and penalties from their employers when appropriate.

Affordable Care Act: With the recent United States Supreme Court case upholding major provisions of the Affordable Care Act, there is the potential for the act's implementation to have a major effect on the Unit's caseload, as the act may significantly increase the number of Medicaid recipients, with current rough estimates projecting a 15% increase in recipients. Even before the ACA ruling, CMS had predicted that the growth in Medicaid spending would outpace the growth of the U.S. economy over the next decade (CMS Press Release, October 17, 2008).

IV) WORKLOAD MEASURE:

WORKLOAD INDICATORS

| Workload Measures | | FY 12 Actual | FY 13 Actual | FY 14 Estimate | FY 15 Request |
|--|---------------|-------------------------|-------------------------|---------------------------|--------------------------|
| Measure 1.1 Criminal Investigation Opened | Target | 45 | 45 | 45 | 45 |
| | Actual | 26 | 47 | | |
| Measure 1.1a Civil Investigation Opened | Target | 50 | 50 | | |
| | Actual | | 103 | | |
| Measure 1.2. Criminal Investigations Pending | Target | 55 | 55 | | |
| | Actual | 48 | 58 | | |
| Measure 1.2a Civil Investigation Pending | Target | | 150 | | |
| | Actual | | 192 | | |
| Measure 1.3. Criminal Cases Filed | Target | 12 | 12 | 12 | 12 |
| | Actual | 12 | 15 | | |
| Measure 1.4. Convictions | Target | 12 | 12 | 12 | 12 |
| | Actual | 19 | 10 | | |
| Measure 1.8. Total fines / Costs / Restitution Recovered (This includes all Civil & Criminal) | Target | \$450,000 | \$3,500,000 | \$3,500,000 | \$2,500,000 |
| | Actual | \$8,469,092.38 | \$16,250,428.94 | | |
| Measure 1.9. Referred for program exclusions (Providers) | Target | 12/60 | 12/60 | 12/60 | 12/60 |
| | Actual | 8/Indefinite | 20/Pending | | |
| Measure 1.10. Cases closed (Civil & Criminal) | Target | 45 | 55 | 55 | 55 |
| | Actual | 105 | 115 | | |
| Measure 1.11. Patient Incident Reviews | Target | 1,000 | 2,000 | 2,000 | 2,000 |
| | Actual | 3819 | 3,328 | | |
| Measure 1.12. Intergovernmental Cooperation (open non-global cases investigated jointly w/other agencies) | Target | 22 | 15 | 15 | 15 |
| | Actual | 10 | 9 | | |

N.B.: The dollar amount listed in Measure 1.8 reflects all recoveries, both civil and criminal, made by the Unit. Per estimates obtained from the National Association of Medicaid Fraud Control Units (NAMFCU), with whom the Unit coordinates its participation in global cases, the amount of dollar recoveries to be obtained through global cases over the next few years is expected to decrease markedly. While such estimates are not an exact science, they are worth noting, as the largest part of the Unit's recoveries over the past several years has been through global cases. Future years' recoveries have been adjusted to reflect both current trends and the NAMFCU estimates.

Under Measure 1.9, twenty individuals were referred for exclusions from the Medicaid program. The amount of time for which they will be excluded is pending.

Statistics kept by the MFCU indicate that the workload of the MFCU has increased gradually but steadily over the fiscal years. A comparatively dramatic increase in the MFCU caseload is anticipated for FY14 with the Supreme Court's ruling pertaining to the Affordable Care Act.

V. PERFORMANCE MEASURES

1. Fraud investigations and prosecutions

Objective: To conduct a statewide program for investigating and prosecuting violations of applicable state laws pertaining to fraud in the administration of the Medicaid program, the provision of medical assistance, or the activities of providers of medical assistance under the State Medicaid plan.

SEE FOLLOWING TABLE

| Performance Measure | Outcome | FY 12 Actual | | FY 13 Actual | | FY 14 Estimate | | FY 15 Request | |
|---|-----------|----------------|----------------|-----------------|----------------|----------------|--------|---------------|--------|
| | | Goal | Change | Goal | Change | Goal | Change | Goal | Change |
| 1.1 Open fraud investigations with substantial potential for criminal prosecution. | Benchmark | 35 | | 35 | | 35 | | 35 | |
| | Actual | 26 | | 58 | 32 | | | | |
| 1.2 Identify, collect overpayments or refer the matter to appropriate state agency for collection. | Benchmark | \$450,000 | | \$2,000,000 | | \$2,000,000 | | \$2,000,000 | |
| | Actual | \$8,469,092.38 | \$3,271,941.38 | \$16,250,428.94 | \$7,781,336.56 | | | | |
| 1.3 To prosecute and convict providers who violate the criminal law by defrauding the Medicaid program. | Benchmark | 9 | | 9 | | 9 | | 9 | |
| | Actual | 20 | 7 | 10 | (10) | | | | |
| *Probation sentences (years) | Benchmark | 10 | | 10 | | 10 | | 10 | |
| | Actual | 32.75 | 22.75 | 30.5 | 2.25 | | | | |
| *Jail / prison sentences(days) | Benchmark | 180 | | 180 | | 180 | | 180 | |
| | Actual | 60 | (1400) | 2,275.00 | 2,215 | | | | |
| *Useful public service (hours) | Benchmark | 100 | | 100 | | 100 | | 100 | |
| | Actual | 552 | | 966 | 414 | | | | |

Strategy: The Unit receives referrals from numerous sources. Fraud referrals often require substantial investigation, and some investigations take months or years. Many of the fraud referrals, once investigated, do not result in criminal charges. This is due to various reasons including lack of provable criminal intent, and inconsistencies or vagueness of applicable rules.

The Unit endeavors to be as quick and responsive as possible in receiving referrals, opening investigations, and bringing cases through the court system. When cases are not appropriate for criminal investigation, the Unit refers them promptly for consideration of civil recoveries or refers them to other agencies and/or delivers information or assistance to the referring entity or person to assure that their concerns may be addressed.

The MFCU's case load increased sharply with the addition of the civil unit, both from new local civil cases and from the service upon the state of scores of civil *qui tam* cases based in federal courts throughout the country.

Evaluation of Prior Year Performance: The MFCU obtained more convictions in FY13 than projected for this year.

Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table, and reflect an increasing Unit caseload. Indicators show that the Unit has the ability to handle both routine cases and those that are highly demanding of employee time.

2. Abuse Investigations and Prosecutions

Objective: To review complaints alleging abuse of patients in health care facilities receiving payments under the State Medicaid plan and in board and care facilities regardless of funding; and complaints of the misappropriation of patients' private funds in such facilities.

| Performance Measure | Outcome | FY 12 Actual | | FY 13 Actual | | FY 14 Estimate | | FY 15 Request | |
|---|-----------|--------------|--------|--------------|--------|----------------|--------|---------------|--------|
| | | Incidents | Change | Incidents | Change | Incidents | Change | Incidents | Change |
| 2.1 Open abuse investigations with a substantial potential for criminal prosecution or refer to appropriate government agency | Benchmark | 6 | | 6 | | 6 | | 6 | |
| | Actual | 6 | | 8 | 2 | | | | |
| 2.2 Criminally prosecute and convict those who violate the criminal law, particularly the "Wrongs to At-risk Adults" statute. | Benchmark | 1 | | 1 | | 1 | | 1 | |
| | Actual | 3 | 2 | (3) | | | | | |

Strategy: The Unit investigates thousands of occurrences of injury, endangerment, and wrongdoing in nursing homes and other facilities. These cases can be investigated and prosecuted by the Unit, but a substantially greater number are tracked through the system as they are prosecuted by other government agencies, usually the local District Attorney. As with fraud referrals, the Unit endeavors to be as quick and responsive as possible. Abuse cases are coordinated with local police and prosecutors and assistance is given as needed. In rare cases, a local agency will not accept an abuse case but the Unit is able to prosecute it independently.

Evaluation of Prior Year Performance: The Unit continues its work reviewing and investigating incidents of abuse and provides assistance and support to other law enforcement agencies. As mentioned, these cases are typically investigated by the local police and prosecuted by the local District Attorney, with the MFCU providing assistance as needed. This year, the Unit reviewed 3,328 allegations of abuse and other incidents in long-term care facilities.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table, and reflect an increasing overall Unit caseload. The indicators do not demonstrate the number of cases for which the Unit provided expert assistance or consultation.

3. Criminal Case openings, assignments, and processing

Objective: Process cases quickly in Unit and, where applicable, in court system by continuing the procedure of assigning the responsibility of each case to a team of at least one investigator and one prosecutor, and holding periodic status meetings and preparing reports on each case.

| Performance Measure | Outcome | FY 12 Actual | | FY 13 Actual | | FY 14 Estimate | | FY 15 Request | |
|---|-----------|--------------|--------|--------------|--------|----------------|--------|---------------|--------|
| | | Incidents | Change | Incidents | Change | Incidents | Change | Incidents | Change |
| 3.1 Assign an investigator, auditor and attorney at the time of case opening. | Benchmark | 35 | | 35 | | 35 | | 35 | |
| | Actual | 26 | 25 | 47 | 21 | | | | |
| 3.2 Update case status report and do case review of each case at least quarterly. | Benchmark | 4/4 | | 4/4 | | 4/4 | | 4/4 | |
| | Actual | 4/4 | 0 | 4/4 | 0 | | | | |

Strategy: As the Unit's case load has increased steadily, it has become increasingly important for the Unit to promptly assign cases to the respective investigators and attorneys, and to monitor and assess the progress of each case on at least a quarterly basis.

Evaluation of Prior Year Performance: Assignments and monitoring have proceeded according to the standards set, and this has assisted the Unit in keeping its cases progressing satisfactorily. In addition, the Unit has improved its pre-assignment evaluation of referred cases, providing a review of cases to be assigned, so that limited Unit resources may be utilized properly. Thus, the number of cases actually opened is far fewer than the number of cases reviewed.

Key Workload Indicators: Workload indicators are consistent with the results in the Performance Measure table, and reflect an increasing overall Unit caseload.

I) BACKGROUND INFORMATION: P.O.S.T. UNIT

Structure: The Colorado Peace Officer Standards and Training Board (P.O.S.T.) was established as a result of the enactment of federal legislation requiring equal protection by jurisdictions receiving federal funding (Title VII of the Rehabilitation Act of 1973).

The P.O.S.T. Board is composed of 20 members, of which three are statutory members: the Attorney General (Chairperson); the FBI Special Agent in Charge of the Denver Division of the Federal Bureau of Investigation; and the Executive Director of the Colorado Department of Public Safety. Seventeen members are appointed to 3-year terms by the Governor, and include one local government representative; one member of the general public; 6 active sheriffs; 6 active chiefs of police; and 3 line-level peace officers serving at the rank of sergeant and below.

Primary Duties: The P.O.S.T. Board is statutorily responsible for the approval, inspection, and regulation of all basic and reserve peace officer training academy programs: to include the development of the basic, reserve peace officer, refresher academy curriculums program curriculums; instruction methodology training programs; skills training programs (arrest control tactics, firearms, and law enforcement driving) and skills instructor programs. The Board's responsibilities also include enforcement of statutes and rules related to peace officer academy enrollment; ensuring peace officer applicants are not convicted criminals; reviewing variance applications; and taking timely revocation action against any certified peace officer convicted of a felony or certain misdemeanors. P.O.S.T. is required to establish the standards for the renewal of expired Colorado peace officer certificates.

P.O.S.T. Staff members work with the four (4) Subject Matter Expert (SME) Committees, which include the Curriculum SME Committee, and three skills disciplines (Firearms, Arrest Control Tactics, and Law Enforcement Driving), to improve training delivery, administer practical examinations to individuals seeking Colorado peace officer certification under reciprocity. Over the years, Colorado P.O.S.T. has joined with its counterparts from other states to develop a reciprocity process for the evaluation for out-of-state peace officer applicants.

P.O.S.T. also participates in the Peace Officer Certification Information System (POCIS), a service provided through the International Association of International Association of Directors of Law Enforcement Standards and Training (IADLEST) and enters revocation data into the National Decertification Index (NDI). P.O.S.T. enters the personal data of individuals whose peace officer authority and peace officer certificates have been revoked by the P.O.S.T. Board. NDI can be accessed by all states and serves as a national clearing house which maintains the names of individuals who have been revoked and/or prohibited to serve as peace officers in other states.

During the 2003 Legislative Session, Senate Bill 03-103 was passed. The legislative intent of SB03-103 was to re-establish a statewide peace officer training program and to enable the P.O.S.T. Board to provide substantial training for certified peace officers. Since implementation, a twenty-five cent fee was collected on motor vehicle registrations and transferred to the P.O.S.T. Board Cash Fund pursuant to § 42-3-

134(32), C.R.S.. The Department of Law and the Peace Officer Standards and Training Board (P.O.S.T.) pursued legislation during the 2009 Legislative Session. HB09-1036, was offered to increase the vehicle registration fee (from \$.25/vehicle to \$.60/vehicle) to provide additional training grant funds and pay program costs for the peace officer training board. This was approved. This fee is the Primary Funding Source for the P.O.S.T. Peace Officer Training Project. These revenues are used to provide funding for peace officer training programs as determined by the P.O.S.T. Board. Early on, P.O.S.T. established 10 training regions, which are based upon the state's 22 judicial districts. Law enforcement agencies within each training region are encouraged to work collaboratively and each region is required to develop training programs through the use of regional law enforcement planning groups and multi-agency collaborative efforts. The regions then annually submit a grant application on behalf of their region to P.O.S.T. Applications are reviewed by the P.O.S.T. Peace Officer Training Project Sub-committee, which then makes grant award recommendations to the P.O.S.T. Board.

This grant and training program has achieved significant success, and the project is still growing in participation. Prior to its implementation, advanced officer training had been in many cases sporadic, inconsistent and driven by the available financial resources of the communities our officer's serve. Now thousands of officers have received training that was previously unavailable prior to the P.O.S.T. grant program. P.O.S.T. had incurred an increase in operating costs, indirect and personnel costs from FY 01 to FY 09. In FY10, without additional revenues, P.O.S.T. awarded grants totaled \$1,579,853. In FY11, without additional revenues, P.O.S.T. awarded grants totaled \$1,720,707. For FY 12, P.O.S.T. awarded \$1,880,993 in grants.

Since 2001, sixteen (16) new academies have applied for and have received approval from P.O.S.T. to conduct basic, reserve, and refresher academy training programs. This process requires the P.O.S.T. Investigator to accept, review and process the academy applications, course descriptions and schedules, lesson plans, site depiction, and instructor credentials. The investigator forwards all skills training course and instructor information to each of the Subject Matter Expert (S.M.E.) Committees for review and approval. The P.O.S.T. investigator is also responsible for all academy and program inspections, which requires travel to each of the 31 training academies. The P.O.S.T. Investigator and S.M.E. Committee members are regularly and routinely required to travel to the numerous academies located in Alamosa, Buena Vista, Colorado Springs (3 academies), Delta, Durango, Fort Collins, Glenwood Springs, Grand Junction, Greeley (2 academies), La Junta, Pueblo (2 academies), Teller County and numerous Denver Metro area locations.

P.O.S.T. Staff electronically maintains the peace officer certification record and data for approximately 13,554+- active peace officers. P.O.S.T. is also responsible for the maintenance of the certification records of many thousands of individuals who were at one time appointed as active peace officers, but who no longer serve as peace officers.

II) HOT ISSUES:

P.O.S.T.'s responsibility for the certification and training of Colorado Peace Officers is a critical link between federal agencies, state agencies, and local government agencies. P.O.S.T. will continue to review the educational requirements and certification needs of Colorado peace officers. Towards that end, POST will be seeking a statutory in-service training requirement. There is currently no mandate that any POST-certified peace officer train after the academy, including firearms, legal update and other areas. While many agencies have policies requiring in-service training, there are others that have no such requirement. Part of this will include regular perishable skills training. Perishable skills are firearms, driving and arrest control.

POST is planning on developing or purchasing an interactive database to track certification, employment and training of the certified officers. Currently, the existing databases are not interactive. Part of this process would be to allow agency representatives to input data of their personnel into the system, reducing time and redundant data entry by POST.

POST will develop a strategic plan in September to focus on priorities and programs as identified by the POST Board.

III) WORKLOAD MEASURE:

At its quarterly meetings, the P.O.S.T. Board considers appealed variance requests and may hold hearings of appealed suspension, revocation, or denial rulings made by the P.O.S.T. Director.

The Board also reviews applications for program approval. Additionally, the P.O.S.T. Board conducts Rule Making Hearings to modify or implement P.O.S.T. Rules as a result of changes made during the legislative session, at the request of Staff, or to coincide with case law.

Staff also reviews the certification records of peace officers from bordering states applying for Colorado peace officer certification or involved in temporary assignment to law enforcement agencies in Colorado (see § 29-1-206, C.R.S.).

Recent Legislative Actions Affecting P.O.S.T. Workload

HB 12-1163 eliminates the authority of the Peace Officer Standards and Training (POST) Board to grant conditional peace officer status. The bill also allows a person to be granted provisional peace officer status if he or she has been authorized to act as a peace officer in another state or federal jurisdiction *within the last three years*, in addition to the current requirement that he or she has served in good standing in the other jurisdiction for one year.

SB 13-283, *Concerning Implementation of Amendment 64*, was passed. This Bill appropriates \$20,000 to the P.O.S.T Board and encourages the Board to include advanced roadside impairment driving enforcement training curriculum in the peace officer training academy.

HB13-1317, *Concerning the Recommendations Made in the Public Process for the Purpose of Implementing Retail Marijuana Legalized by Section 16 of Article XVIII of the Colorado Constitution*. This bill appropriates \$76,000 for the Marijuana Cash Fund to the P.O.S.T. Board for training purposes.

SB 13-111, *Concerning Abuse of At-Risk Adults* was passed. This Bill required POST to develop curricula to train peace officers in elder abuse. The curricula must be completed by January 1, 2014 and one member from each municipal and county agency must attend the training by January 1, 2015. There is a grandfathering provision for attending equivalent training in the past. No funding was appropriated for this bill although POST may charge for attendance.

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

SPECIAL PROSECUTIONS UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|----------------------------------|--------------|------|--------------|------|--------------|------|----------------|------|---------------|------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| SPECIAL PROSECUTIONS UNIT | 3,302,077 | 29.6 | 3,375,427 | 30.2 | 3,361,996 | 35.8 | 4,363,199 | 35.8 | 3,659,196 | 35.8 |
| General Fund | 1,628,331 | | 1,486,121 | | 1,675,109 | | 2,163,203 | | 1,832,353 | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | 1,025,052 | | 1,243,656 | | 1,090,426 | | 1,404,365 | | 1,162,762 | |
| Reappropriated Funds | 648,694 | | 645,651 | | 596,461 | | 795,631 | | 664,079 | |

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|--------------|------|--------------|------|--------------|-----|----------------|------|---------------|------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| I. POSITION DETAIL | | | | | | | | | | |
| Deputy Attorney General | 124,728 | 1.0 | 124,728 | 1.0 | | | 143,436 | 1.0 | 143,436 | 1.0 |
| First Assistant Attorney General | 211,164 | 2.0 | 196,918 | 1.9 | | | 334,944 | 3.0 | 334,944 | 3.0 |
| Senior Assistant Attorney General | 648,387 | 7.0 | 615,829 | 6.4 | | | 678,264 | 6.0 | 678,264 | 6.0 |
| Assistant Attorney General | 175,980 | 2.0 | 276,560 | 3.3 | | | 471,876 | 5.0 | 471,876 | 5.0 |
| | | | | | | | 0 | | 0 | 0.0 |
| Criminal Investigator II | 848,887 | 10.8 | 800,380 | 10.6 | | | 907,008 | 11.8 | 907,008 | 11.8 |
| Criminal Investigator III | 88,200 | 1.0 | 88,200 | 1.0 | | | 91,848 | 1.0 | 91,848 | 1.0 |
| Legal Assistant II | | | | | | | 0 | | 0 | 0.0 |
| Legal Assistant I | 131,794 | 2.8 | 128,152 | 2.8 | | | 165,510 | 4.0 | 165,510 | 4.0 |
| Legal Assistant II | 55,561 | 1.0 | 55,776 | 1.0 | | | 57,804 | 1.0 | 57,804 | 1.0 |
| Auditor IV | 78,000 | 1.0 | 78,000 | 1.0 | | | 80,832 | 1.0 | 80,832 | 1.0 |
| Program Assistant I | | | 30,149 | 0.6 | | | 52,716 | 1.0 | 52,716 | 1.0 |
| Administrative Assistant III | 48,780 | 1.0 | 27,571 | 0.6 | | | 45,276 | 1.0 | 45,276 | 1.0 |
| Administrative Assistant II | | | | | | | | | | |
| TOTAL POSITION DETAIL | 2,411,480 | 29.6 | 2,422,263 | 30.2 | | | 3,029,514 | 35.8 | 3,029,514 | 35.8 |
| | | | | | | | | | | |
| (I.A.) CONTINUATION FTE SALARY COSTS | 2,411,480 | 29.6 | 2,422,263 | 30.2 | | | 3,029,514 | 35.8 | 3,029,514 | 35.8 |
| (Permanent FTE by Position) | | | | | | | | | | |
| Continuation Salary Subtotal | | | | | | | | | | |
| (I.B.) OTHER PERSONAL SERVICES | | | | | | | | | | |
| PERA on Continuation Subtotal | 180,281 | | 241,963 | | | | 307,496 | | 307,496 | |
| Medicare on Continuation Subtotal | 32,615 | | 34,217 | | | | 43,928 | | 43,928 | |
| Non-Base Building Performance Awards | | | | | | | 2,518 | | | |
| Part-Time/Temporary Salaries | 653 | | - | | | | | | | |
| Contractual Services | 20,950 | | 21,252 | | | | 65,862 | | 104,291 | |
| Furloughs | - | | - | | | | | | | |
| Leave | 31,377 | | 13,979 | | | | | | | |
| Forced Vacancy | | | | | | | - | | | |
| Overtime | 3,335 | | 49 | | | | | | | |
| Unemployment Compensation | 12,714 | | - | | | | | | | |
| Other | 2,665 | | 2,953 | | | | | | | |
| SUBTOTAL | 284,589 | 29.6 | 314,413 | 30.2 | | | 419,803 | 35.8 | 455,714 | 35.8 |
| | | | | | | | | | | |
| (I.C.) PERSONAL SERVICES SUBTOTAL= A+B | 2,696,070 | | 2,736,676 | | | | 3,449,317 | | 3,485,228 | |
| | | | | | | | | | | |
| (I.D.) POTS EXPENDITURES | | | | | | | | | | |

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|------------------|-------------|------------------|-------------|--------------|-----|------------------|-------------|------------------|-------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Health/Life Dental | 170,051 | | 184,422 | | | | 229,315 | | | |
| Salary Survey | | | | | | | - | | | |
| Performance Awards | | | | | | | - | | | |
| Short Term Disability | 4,234 | | 4,132 | | | | 5,756 | | | |
| SB 04.257 A.E.D. | 65,982 | | 72,511 | | | | 109,063 | | | |
| SB 06.235 S.A.E.D. | 53,044 | | 62,264 | | | | 98,459 | | | |
| Other | | | | | | | | | | |
| [] Indicates a Non-add | | | | | | | | | | |
| (I.E.) BASE PERSONAL SERVICES TOTAL= C+D | 2,989,380 | 29.6 | 3,060,005 | 30.2 | | | 3,891,910 | 35.8 | 3,485,228 | 35.8 |
| General Fund | 1,457,109 | | 1,334,751 | | | | 1,939,714 | | | |
| Cash Funds | 927,107 | | 1,131,643 | | | | 1,247,134 | | | |
| Reappropriated Funds | 605,164 | | 593,612 | | | | 705,062 | | | |
| (I.F.) DIFFERENCE= II-I.E. | | | | | | | | | | |
| (I.G.) REQUEST YEAR DECISION ITEMS | | | | | | | | | | |
| FY15 Decision Items: | | | | | | | | | | |
| General Fund | | | | | | | | | - | 0.0 |
| Cash Funds | | | | | | | | | - | |
| Reappropriated Funds | | | | | | | | | | |
| II. PERSONAL SERVICE REQUEST TOTAL | 2,989,380 | 29.6 | 3,060,005 | 30.2 | | | 3,891,910 | 35.8 | 3,485,228 | 35.8 |
| General Fund | 1,457,109 | | 1,334,751 | | | | 1,939,714 | | 1,732,347 | |
| Cash Funds | 927,107 | | 1,131,643 | | | | 1,247,134 | | 1,127,869 | |
| Reappropriated Funds | 605,164 | | 593,612 | | | | 705,062 | | 625,012 | |
| Federal Funds | | | | | | | | | | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|--|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| OPERATING EXPENSES | | | | | | | | | | |
| 1930 Litigation | 8,856 | | 7,867 | | | | 17,310 | | 23,204 | |
| 2150 Custodial Services | - | | - | | | | | | | |
| 2160 Janitorial Service | - | | - | | | | | | | |
| 2170 Waste Disposal Services | - | | 37 | | | | 10 | | 10 | |
| 2210 Bldg Maintenance/Repair Svcs | - | | - | | | | | | | |
| 2220 Building Grounds Maintenance | - | | - | | | | | | | |
| 2230 Equipment Contract Maintenance | 50 | | 136 | | | | 20 | | 20 | |
| 2231 ADP Equip Maint/Repair Services | 6,949 | | 2,796 | | | | 5,700 | | 5,700 | |
| 2232 Software Upgrades | 9,458 | | 5,960 | | | | - | | - | |
| 2240 Motor Veh Maint/Repair Svcs | - | | - | | | | | | | |
| 2251 Lease Motor Pool Vehicle | 24,137 | | 24,269 | | | | | | - | |
| 2252 Motor Pool Mileage Charge | 25,947 | | 16,445 | | | | 27,456 | | 27,456 | |
| 2253 Equipment Rental | - | | - | | | | - | | - | |
| 2254 Rental of Motor Vehicles | - | | - | | | | | | | |
| 2255 Rental of Buildings | 94,244 | | 95,683 | | | | - | | - | |
| 2258 Parking Fees | 12,375 | | 13,790 | | | | 13,375 | | 13,375 | |
| 2259 Parking Fee Reimbursement | 60 | | 6 | | | | | | | |
| 2510 In State Travel | 4 | | 68 | | | | 150 | | 150 | |
| 2511 In State Common Carrier Fares | - | | - | | | | - | | - | |
| 2512 IS Personal Travel Per Diem | 12,374 | | 13,638 | | | | 16,541 | | 16,541 | |
| 2513 IS Personal Vehicle Reimbursement | 236 | | 219 | | | | 150 | | 150 | |
| 2520 IS Travel/Non Employee | - | | 453 | | | | | | | |
| 2521 IS Common Carrier Non Employee | - | | - | | | | | | | |
| 2522 IS Non-Emp - Pers Per Diem | - | | 69 | | | | | | | |
| 2523 IS/Non-Emp - Pers Veh Reimb | - | | - | | | | | | | |
| 2530 Out of State Travel | 205 | | 238 | | | | 179 | | 179 | |
| 2531 OS Common Carrier Fares | 885 | | 1,288 | | | | 980 | | 980 | |
| 2532 OS Personal Travel Per Diem | 2,117 | | 1,239 | | | | 2,150 | | 2,150 | |
| 2533 OS Personal Vehicle Reimbursement | - | | - | | | | | | | |
| 2540 OS Travel Non-Employee | - | | 531 | | | | | | | |
| 2541 OS Non Emp Common Carrier Fares | - | | - | | | | | | | |
| 2542 OS/Non Emp - Pers Per Diem | - | | 46 | | | | | | | |
| 2550 Out of Country Travel | 148 | | - | | | | - | | - | |
| 2551 Out of Country Common Carrier Fares | 2,396 | | - | | | | | | | |
| 2552 OC Pers Travel Reimbursement | 2,193 | | - | | | | | | | |
| 2610 Advertising | - | | - | | | | - | | - | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| 2630 Telephone | 22,386 | | 16,510 | | | | 21,541 | | 21,541 | |
| 2631 Comm Svcs from Outside Sources | 10,466 | | 11,865 | | | | 10,990 | | 10,990 | |
| 2641 Other ADP Billings - Purchase Services | 9,116 | | - | | | | - | | - | |
| 2650 OIT Purchased Svcs | - | | - | | | | | | | |
| 2660 Insurance | 5,037 | | 5,360 | | | | | | | |
| 2680 Contract Printing | 676 | | 6,498 | | | | 9,412 | | 9,412 | |
| 2681 Photocopy Reimbursement | - | | - | | | | | | | |
| 2710 Purchase Medical Services | - | | - | | | | - | | - | |
| 2810 Freight & Storage | - | | - | | | | | | | |
| 2820 Other Purchased Services | 10 | | 75 | | | | 320 | | 320 | |
| 2830 Office Moving/Purchased Services | - | | 7,710 | | | | | | | |
| 2831 Storage Purch Svcs | 84 | | 54 | | | | | | | |
| 3110 Other Supplies and Materials | - | | 1,384 | | | | | | | |
| 3112 Automotive Supplies | - | | - | | | | | | | |
| 3113 Clothing and Uniform Allowance | - | | - | | | | | | | |
| 3114 Custodial | - | | - | | | | | | | |
| 3115 DP Supplies | 410 | | 469 | | | | - | | - | |
| 3116 Purchase/Leased Software | 542 | | 356 | | | | 600 | | 600 | |
| 3117 Educational | 2,256 | | 1,178 | | | | 2,532 | | 2,532 | |
| 3120 Books & Subscriptions | 12,759 | | 13,380 | | | | 13,250 | | 13,250 | |
| 3121 Office Supplies | 1,588 | | 3,655 | | | | 4,215 | | 4,215 | |
| 3122 Microfilming/Photo. Supplies | - | | - | | | | | | | |
| 3123 Postage | 2,884 | | 2,343 | | | | 2,850 | | 2,850 | |
| 3124 Printing | 29 | | 188 | | | | | | | |
| 3126 Repair & Maintenance/Supplies | - | | - | | | | | | | |
| 3128 Non-Capitalized Equipment | 8,111 | | 2,505 | | | | - | | - | |
| 3131 Non-Capitalized Building Mat'ls | - | | 3,511 | | | | | | | |
| 3132 Non-Cap Office Furn/Office Systems | 1,223 | | - | | | | 7,996 | | | |
| 3140 Non-Capitalized IT - PC's | 2,039 | | 13,073 | | | | 4,659 | | 3,969 | |
| 3141 Non Capitalized IT Servers | - | | - | | | | - | | - | |
| 3142 Non Cap IT Network | | | 47 | | | | | | | |
| 3143 Non-Capitalized IT - Other | 332 | | 1,509 | | | | - | | - | |
| 3146 Non Capitalized IT | - | | - | | | | - | | - | |
| 4100 Other Operating Expenses | - | | - | | | | | | | |
| 4140 Dues & Memberships | 21,498 | | 7,675 | | | | 8,750 | | 8,750 | |
| 4151 Interest Late Payments | - | | - | | | | | | | |
| 4170 Miscellaneous Fees | - | | 194 | | | | | | | |
| 4180 Official Functions | 309 | | 1,001 | | | | 200 | | 200 | |
| 4111 Prizes and Awards | 2,750 | | - | | | | | | | |
| 4220 Registration Fees | 5,557 | | 6,771 | | | | 5,424 | | 5,424 | |
| 6140 Buildings and Improves. to Bldg. | - | | - | | | | | | | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|------------------------------------|----------------|-----|----------------|-----|--------------|-----|----------------|-----|----------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| 6210 Other Capital Equipment | - | | - | | | | | | | |
| 6212 IT Servers - Direct Purchase | - | | 485 | | | | | | | |
| 6213 IT PC SW - Direct Purchase | - | | - | | | | | | | |
| 6214 IT Other Direct Purchase | - | | 12,164 | | | | | | | |
| 6216 IT Servers SW | - | | 2,452 | | | | | | | |
| EBJJ Law to Judicial | - | | 8,232 | | | | | | | |
| Operating Expense Subtotal: | 312,697 | | 315,422 | | | | 176,760 | | 173,968 | |
| FY15 DECISION ITEMS: | | | | | | | | | | |
| Total Funds | | | | | | | | | - | 0.0 |
| General Fund | | | | | | | | | - | |
| Cash Funds | | | | | | | | | | |
| Cash Funds Exempt | | | | | | | | | | |
| OPERATING EXPENSE TOTAL: | 312,697 | | 315,422 | | | | 176,760 | | 173,968 | |
| General Fund | 171,222 | | 151,370 | | | | 102,799 | | 100,007 | |
| General Funds Exempt | | | | | | | | | | |
| Cash Funds | 97,945 | | 112,013 | | | | 37,185 | | 34,893 | |
| Reappropriated Funds | 43,530 | | 52,039 | | | | 36,775 | | 39,067 | |
| Potted Operating Expenses | | | | | | | | | | |
| ### Workers' Compensation | | | | | | | 5,859 | | | |
| Vehicle Leased Expense | | | | | | | 23,847 | | | |
| Capital Complex Lease Space | | | | | | | 229,460 | | | |
| Lease Space | | | | | | | 2,907 | | | |
| IT Asset Maintenance | | | | | | | 23,222 | | | |
| ADP Capital Outlay | | | | | | | - | | | |
| Communication Service Payments | | | | | | | 4,734 | | | |
| Building Security | | | | | | | - | | | |
| CLE Registration Fees | | | | | | | 4,500 | | | |
| Total | | | | | | | 294,529 | | | |
| General Fund | | | | | | | 120,690 | | | |
| Cash Funds | | | | | | | 120,046 | | | |
| Reappropriated Funds | | | | | | | 53,793 | | | |
| ROLLFORWARDS | | | | | | | - | | - | |
| General Fund | | | | | | | | | | |
| Cash Funds | | | | | | | | | | |
| Reappropriated Funds | | | | | | | - | | | |
| REFINANCING THE LINE ITEM | | | | | | | | | - | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|------------------|-------------|------------------|-------------|--------------|------|------------------|-------------|------------------|-------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| General Fund | | | | | | | | | | |
| General Fund Exempt | | | | | | | | | | |
| Cash Funds | | | | | | | | | - | |
| Cash Funds Exempt | | | | | | | | | | |
| TOTAL SPECIAL PROSECUTIONS UNIT | 3,302,077 | 29.6 | 3,375,427 | 30.2 | | | 4,363,199 | 35.8 | 3,659,196 | 35.8 |
| General Fund | 1,628,331 | | 1,486,121 | | | | 2,163,203 | | 1,832,353 | |
| General Fund Exempt | - | | - | | | | | | | |
| Cash Funds | 1,025,052 | | 1,243,656 | | | | 1,404,365 | | 1,162,762 | |
| Reappropriated Funds | 648,694 | | 645,651 | | | | 795,631 | | 664,079 | |
| RECONCILIATION OF FUNDS | | | | | | | | | | |
| Long Bill Appropriation | 2,830,320 | 31.0 | 2,816,528 | 30.5 | 3,233,334 | 34.4 | 3,233,334 | 34.4 | 3,233,334 | 34.4 |
| SB 11-76 PERA Adjustment | (56,055) | | | | | | | | 0 | |
| Increase due to \$5,000 Grant | | | 5,000 | | | | | | | |
| SB 12-110 | | | 196,677 | 2.0 | | | | | - | |
| SB 13-1230 Exoneration of wrongly convicted | - | | 0 | | 128,662 | 1.4 | 128,662 | 1.4 | 128,662 | 1.4 |
| Annualization of FY 14 Decision Item | | | | | | | | | (8,686) | |
| SB 13-1230 annualization | | | | | | | | | (3,703) | |
| Long Bill Add On | 29,814 | | | | | | | | | |
| FY 14 Decsion Item | | | | | | | | | | |
| Salary Survey-Classified | | | | | | | 23,911 | | 23,911 | |
| PBP - Classified | | | | | | | 16,574 | | 15,338 | |
| Salary Survey Exempt | | | | | | | 246,492 | | 246,492 | |
| PBP - Exempt | | | | | | | 25,210 | | 23,848 | |
| Health/Life/Dental | 129,753 | | 110,922 | | | | 183,782 | | | |
| Short Term Disability | 4,103 | | 3,802 | | | | 5,217 | | | |
| SB 04.257 A.E.D. | 64,576 | | 46,105 | | | | 107,994 | | | |
| SB 06.235 S.A.E.D. | 51,695 | | 66,834 | | | | 97,494 | | | |
| Worker's Compensation | 6,439 | | 5,389 | | | | 5,859 | | | |
| Capital Complex Lease Space | 182,711 | | 49,502 | | | | 229,460 | | | |
| Lease Space | 2,742 | | 2,907 | | | | 2,907 | | | |
| Vehicle Lease Allocation | 24,638 | | 29,373 | | | | 23,847 | | | |
| IT Asset Maintenance | 21,745 | | 23,222 | | | | 23,222 | | | |
| ADP Capital Outlay Allocation | | | - | | | | - | | | |
| Communication Service Payments | 4,553 | | 5,590 | | | | 4,734 | | | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

SPECIAL PROSECUTIONS UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Building Security | 9,451 | | 10,332 | | | | - | | | |
| CLE Registration Fees | 3,750 | | 4,500 | | | | 4,500 | | | |
| Roll Forward from Previous FY | | | | | | | - | | | |
| Rollforward to Subsequent FY | | | | | | | | | | |
| Overexpenditure/(Reversion) - GF | | (0.9) | (1,255) | (2.3) | | | | | | |
| Lapsed Appropriation Cash Fund | (8,148) | (0.5) | (1) | | | | | | | |
| Lapsed Appropriation Reappropriated Funds | (10) | | | | | | | | | |
| TOTAL RECONCILIATION | 3,302,077 | 29.6 | 3,375,427 | 30.2 | | | 4,363,199 | 35.8 | 3,659,196 | 35.8 |
| DIFFERENCE= | | | | | | | | | | |
| GRAND TOTAL | 3,302,077 | 29.6 | 3,375,427 | 30.2 | 3,361,996 | 35.8 | 4,363,199 | 35.8 | 3,659,196 | 35.8 |
| General Fund | 1,628,331 | | 1,486,121 | | 1,675,109 | | 2,163,203 | | 1,832,353 | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | 1,025,052 | | 1,243,656 | | 1,090,426 | | 1,404,365 | | 1,162,762 | |
| Reappropriated Funds | 648,694 | | 645,651 | | 596,461 | | 795,631 | | 664,079 | |
| Federal Funds | | | | | | | - | | - | |

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

SPECIAL PROSECUTIONS UNIT

| Item | Fund Number | Actual | Actual | Approp | Estimate | Request |
|--|-------------|-----------|-----------|-----------|-----------|-----------|
| | | FY 2012 | FY 2013 | FY 2014 | FY 2014 | FY 2015 |
| Schedule 3 Total | | 3,130,538 | 3,375,427 | 3,361,996 | 4,363,199 | 3,659,196 |
| General Funds | | 1,741,166 | 1,486,121 | 1,675,109 | 2,163,203 | 1,832,353 |
| General Funds Exempt | | - | - | - | - | - |
| Cash Funds | | 942,404 | 1,243,656 | 1,090,426 | 1,404,365 | 1,162,762 |
| Reappropriated Funds | | 446,968 | 645,651 | 596,461 | 795,631 | 664,079 |
| Cash Funds | | | | | | |
| State Compensation Insurance Authority | | 253,603 | | - | - | - |
| Fund #16Z | | 688,801 | 1,238,656 | 1,090,426 | 1,404,365 | 1,162,762 |
| EALA | | | 5,000 | | | |
| Reappropriated Funds | | 446,968 | 645,651 | 596,461 | 795,631 | 664,079 |
| Special Prosecution Custodial | | - | - | - | | |
| DORA Division of Insurance Cash Fund | | - | - | - | | - |
| DORA Division of Securities | | 446,968 | 645,651 | 596,461 | 795,631 | 664,079 |

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

Auto Theft Prevention Grant

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|----------------------|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Auto Theft | 222,007 | 2.0 | 255,694 | 2.3 | 291,569 | 2.5 | 291,569 | 2.5 | 301,569 | 2.5 |
| General Fund | - | | - | | - | | - | | - | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | - | | - | | - | | - | | - | |
| Reappropriated Funds | 222,007 | | 255,694 | | 291,569 | | 291,569 | | 301,569 | |
| Federal Funds | - | | - | | - | | - | | - | |

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|----------------|------------|----------------|------------|--------------|-----|----------------|------------|----------------|------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| I. POSITION DETAIL | | | | | | | | | | |
| Senior Asst Attorney General | 98,004 | 1.0 | 98,004 | 1.0 | | | 116,256 | 1.0 | 116,256 | 1.0 |
| Criminal Investigator II | 76,800 | 1.0 | 76,800 | 1.0 | | | 79,584 | 1.0 | 79,584 | 1.0 |
| Administrative Asst III | | | 14,185 | 0.3 | | | 23,154 | 0.5 | 23,154 | 0.5 |
| TOTAL POSITION DETAIL | 174,804 | 2.0 | 188,989 | 2.3 | | | 218,994 | 2.5 | 218,994 | 2.5 |
| | | | | | | | | | | |
| (I.A.) CONTINUATION FTE SALARY COSTS | 174,804 | 2.0 | 188,989 | 2.3 | | | 218,994 | 2.5 | 218,994 | 2.5 |
| (Permanent FTE by position) | | | | | | | | | | |
| Continuation Salary Subtotal | | | | | | | | | | |
| | | | | | | | | | | |
| (I.B.) OTHER PERSONAL SERVICES | | | | | | | | | | |
| PERA on Continuation Subtotal | 12,366 | | 17,827 | | | | 22,228 | | 22,228 | |
| Medicare on Continuation Subtotal | 2,344 | | 2,548 | | | | 3,175 | | 3,175 | |
| Non-Base Building Performance Awards | | | | | | | | | | |
| Contractual | - | | 673 | | | | - | | - | |
| Overtime | | | 49 | | | | | | | |
| Sick and Annual Leave Payouts | | | 395 | | | | | | | |
| Furlough Days | - | | - | | | | - | | - | |
| Other | 734 | | 489 | | | | | | 9,400 | |
| SUBTOTAL | 15,444 | | 21,981 | | | | 25,403 | | 34,803 | |
| | | | | | | | | | | |
| (I.C.) PERSONAL SERVICES SUBTOTAL= | | | | | | | | | | |
| A+B | 190,248 | 2.0 | 210,970 | 2.3 | | | 244,397 | 2.5 | 253,797 | 2.5 |
| | | | | | | | | | | |
| (I.D.) POTS EXPENDITURES | | | | | | | | | | |
| Health/Life Dental | 16,583 | | 19,493 | | | | 24,430 | | 24,430 | |
| Salary Survey | | | | | | | - | | | |
| Performance Awards | | | | | | | - | | | |
| Short Term Disability | 309 | | 322 | | | | 416 | | 416 | |
| SB 04.257 A.E.D. | 4,526 | | 5,629 | | | | 7,884 | | 8,760 | |

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|----------------|------------|----------------|------------|--------------|-----|----------------|------------|----------------|------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| SB 06.235 S.A.E.D. Other [] Indicates a Non-add | 3,637 | | 4,841 | | | | 7,117 | | 8,212 | |
| (I.E.) BASE PERSONAL SERVICES TOTAL= C+D | 215,302 | 2.0 | 241,255 | 2.3 | | | 284,244 | 2.5 | 295,615 | 2.5 |
| (I.F.) DIFFERENCE= II- I.E. (I.G.) REQUEST YEAR DECISION ITEMS | | | | | | | | | - | |
| General Fund Cash Funds Reappropriated Funds | | | | | | | | | | |
| II. PERSONAL SERVICES REQUEST TOTAL | 215,302 | 2.0 | 241,255 | 2.3 | | | 284,244 | 2.5 | 295,615 | 2.5 |
| General Fund Cash Funds Reappropriated Funds Federal Funds | - 215,302 | | - 241,255 | | | | 284,244 | | 295,615 | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| OPERATING EXPENSES | | | | | | | | | | |
| 1930 Litigation | 544 | | 298 | | | | 250 | | | |
| 2210 Bldg Maintenance/Repair Svcs | - | | - | | | | | | | |
| 2230 Equipment Maintenance/Repair Svcs | - | | - | | | | - | | | |
| 2231 ADP Equip Maint/Repair Services | - | | - | | | | - | | | |
| 2251 Rental/Lease Motor Pool Vehicle | 721 | | 1,344 | | | | | | | |
| 2252 Motor Pool Mileage Charge | - | | 1,105 | | | | 250 | | 250 | |
| 2254 Rental of Motor Vehicles | - | | - | | | | | | | |
| 2255 Rental of Buildings | 3,188 | | 6,109 | | | | 984 | | 519 | |
| 2258 Parking Fees | - | | - | | | | 0 | | 0 | |
| 2559 Parking Fee Reimbursement | - | | - | | | | 26 | | 26 | |
| 2510 In State Travel | - | | - | | | | | | 0 | |
| 2258 Parking Fees | - | | - | | | | | | | |
| 2512 IS Personal Travel Per Diem | 437 | | 313 | | | | 600 | | 600 | |
| 2513 IS Personal Vehicle Reimbursement | - | | - | | | | | | | |
| 2530 Out of State Travel | - | | - | | | | | | | |
| 2531 OS Common Carrier Fares | - | | - | | | | | | | |
| 2532 OS Personal Travel Per Diem | - | | - | | | | | | | |
| 2533 OS Personal Vehicle Reimbursement | - | | - | | | | | | | |
| 2630 Comm Svs Div of Telecom | 892 | | 553 | | | | 600 | | 600 | |
| 2631 Comm Svcs from Outside Sources | 650 | | 628 | | | | 650 | | 650 | |
| 2641 Other ADP Billings - Purchase Services | - | | - | | | | | | | |
| 2660 Insurance | - | | 351 | | | | | | | |
| 2680 Contract Printing | (2,073) | | 278 | | | | 182 | | 182 | |
| 2681 Photocopy Reimbursement | - | | - | | | | | | | |
| 2810 Freight & Storage | - | | - | | | | - | | - | |
| 2820 Other Purchased Services | - | | - | | | | 657 | | | |
| 2830 Office Moving/Purchased Services | - | | - | | | | | | | |
| 3110 Other Supplies and Materials | - | | 246 | | | | 360 | | 360 | |
| 3112 Automotive Supplies | - | | - | | | | | | | |
| 3114 Custodial | - | | - | | | | | | | |
| 3115 DP Supplies | (240) | | 103 | | | | 250 | | 250 | |
| 3116 Purchase/Leased Software | - | | - | | | | | | | |
| 3117 Educational | - | | 59 | | | | | | | |
| 3120 Books & Subscriptions | 1,112 | | 1,137 | | | | 900 | | 900 | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| 3121 Office Supplies | 276 | | 1,012 | | | | 350 | | 350 | |
| 3122 Microfilming/Photo. Supplies | - | | - | | | | | | | |
| 3123 Postage | 51 | | 173 | | | | 266 | | 266 | |
| 3124 Printing | 7 | | 35 | | | | - | | 0 | |
| 3126 Repair & Maintenance/Supplies | - | | - | | | | 50 | | 50 | |
| 3128 Non-Capitalized Equipment | 96 | | - | | | | | | | |
| 3132 Non-Capitalized Furn/Office Sust | - | | - | | | | | | | |
| 3140 Non-Capitalized IT - PCs | - | | - | | | | - | | - | |
| 3141 Non-Capitalized IT - Servers | - | | - | | | | | | | |
| 3143 Non-Capitalized IT - Other | 10 | | 4 | | | | | | | |
| 3146 Non-Capitalized IT Purchased Server SW | - | | - | | | | | | | |
| 4100 Other Operating Expenses | - | | - | | | | | | | |
| 4140 Dues & Memberships | 225 | | (113) | | | | 450 | | 450 | |
| 4170 Miscellaneous Fees | - | | - | | | | | | | |
| 4180 Official Functions | - | | - | | | | | | | |
| 4220 Registration Fees | 810 | | 345 | | | | 500 | | 500 | |
| EBJJ OT RE Law to Jud | | | 457 | | | | | | | |
| Operating Expense Subtotal: | 6,705 | | 14,439 | | | | 7,325 | | 5,953 | |
| Decision Item | | | | | | | | | | |
| Cash Funds Exempt | | | | | | | | | | |
| OPERATING EXPENSE TOTAL: | 6,705 | | 14,439 | | | | 7,325 | | 5,953 | |
| General Fund | | | | | | | | | | |
| General Funds Exempt | | | | | | | | | | |
| Cash Funds | 6,705 | | 14,439 | | | | | | | |
| Reappropriated Funds | - | | - | | | | 7,325 | | 5,953 | |
| Potted Operating Expenses | | | | | | | | | | |
| Workers' Compensation | | | | | | | - | | | |
| Leased Vehicle Expense | | | | | | | | | | |
| Leased Space Allocation | | | | | | | - | | | |
| IT Asset Maintenance | | | | | | | | | | |
| ADP Capital Outlay | | | | | | | | | | |
| Building Security | | | | | | | | | | |
| Total | | | | | | | - | | | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

| Department of Law | | Auto Theft Prevention Grant | | | | | | | | |
|---|----------------|-----------------------------|----------------|------------|----------------|------------|----------------|------------|----------------|------------|
| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| General Fund | | | | | | | - | | | |
| Cash Funds Exempt | | | | | | | - | | | |
| Base Refinancing | | | | | | | | | - | |
| General Fund | | | | | | | | | | |
| Reappropriated Funds | | | | | | | | | | |
| FY010 Refinancing | | | | | | | | | - | |
| General Fund | | | | | | | | | - | |
| Reappropriated Funds | | | | | | | | | - | |
| DECISION ITEMS | | | | | | | | | - | |
| General Fund | | | | | | | | | - | |
| Projected Shortfall | | | | | | | - | | | |
| Reappropriated Funds | | | | | | | - | | | |
| TOTAL Auto Theft Grant | 222,007 | 2.0 | 255,694 | 2.3 | 282,234 | 2.5 | 291,569 | 2.5 | 301,569 | 2.5 |
| General Fund | | | | | | | | | | |
| General Fund Exempt | | | | | | | | | | |
| Cash Funds | - | | - | | | | | | | |
| Reappropriated Funds | 222,007 | | 255,694 | | 282,234 | 2.5 | 291,569.00 | 2.5 | 301,569 | |
| Federal Funds | | | | | | | | | - | |
| RECONCILIATION OF FUNDS | | | | | | | | | | |
| Long Bill Appropriation | 239,075 | 2.0 | 239,075 | 2.0 | | | 282,234 | 2.5 | 291,569 | 2.5 |
| Supplemental HB 10-1305 | - | | - | | | | | | | |
| <i>Additional Grant Amount (Est)</i> | | | 43,159 | 0.5 | 9,335 | | 9,335 | | 10,000 | |
| PERA, AED, SAED adjustments | | | | | | | | | | |
| Removal of one time equipment purchases | | | | | | | | | | |
| Classified Salary POTS | | | | | | | | | | |
| Health/Life/Dental | | | | | | | | | | |
| Short Term Disability | | | | | | | | | | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Auto Theft Prevention Grant

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|--|----------------|------------|----------------|------------|----------------|------------|----------------|------------|----------------|------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| SB 04.257 A.E.D. | | | | | | | | | | |
| SB 06.235 S.A.E.D. | | | | | | | | | | |
| Capital Complex Lease Space | | | | | | | | | | |
| Workers Compensation | | | | | | | | | | |
| Vehicle Lease Allocation | | | | | | | | | | |
| IT Asset Maintenance | | | | | | | | | | |
| ADP Capital Outlay Allocation | | | | | | | | | | |
| Building Security | | | | | | | | | | |
| Rollforward from Previous FY year | | | | | | | | | | |
| Restriction | | | | | | | | | | |
| Overexpenditure/(Reversion) - GF | | | | | | | | | | |
| Lapsed Appropriation Reappropriated Fund | (17,068) | | (26,540) | | | | | | | |
| Lapsed Appropriation Federal Funds | - | | - | | | | | | | |
| TOTAL RECONCILIATION | 222,007 | 2.0 | 255,694 | 2.0 | | | 291,569 | 2.5 | 301,569 | |
| GRAND TOTAL | 222,007 | 2.0 | 255,694 | 2.3 | 291,569 | 2.5 | 291,569 | 2.5 | 301,569 | 2.5 |
| General Fund | - | | - | | - | | - | | - | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | - | | - | | - | | - | | - | |
| Reappropriated Funds | 222,007 | | 255,694 | | 291,569 | | 291,569 | | 301,569 | |
| Federal Funds | 0 | | 0 | | | | - | | | |

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

| Department of Law | | Auto Theft Prevention Grant | | | | |
|-----------------------------|-------------|-----------------------------|---------|---------|----------|---------|
| Item | Fund Number | Actual | Actual | Approp | Estimate | Request |
| | | FY 2012 | FY 2013 | FY 2014 | FY 2014 | FY 2015 |
| Schedule 3 Total | | 222,007 | 255,694 | 291,569 | 291,569 | 301,569 |
| General Funds | | - | - | - | - | - |
| General Funds Exempt | | - | - | - | - | - |
| Cash Funds | | - | - | - | - | - |
| Reappropriated Funds | | 222,007 | 255,694 | 291,569 | 291,569 | 301,569 |
| Federal Funds | | - | - | - | - | - |
| Reappropriated Funds | | | | | | |
| Auto Theft Prevention Grant | | 222,007 | 255,694 | 291,569 | 291,569 | 301,569 |
| Federal Funds | | | | | | |
| Federal VOCA Grant | | - | - | - | - | - |

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

APPELLATE UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|-----------------------|--------------|------|--------------|------|--------------|------|----------------|------|---------------|------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| APPELLATE UNIT | 2,953,237 | 30.9 | 3,065,022 | 31.3 | 3,244,179 | 37.5 | 4,313,539 | 37.5 | 3,707,520 | 38.9 |
| General Fund | 2,569,338 | | 2,551,396 | | 2,603,174 | | 3,672,534 | | 3,383,771 | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | - | | - | | - | | - | | - | |
| Reappropriated Funds | 383,899 | | 513,626 | | 641,005 | | 641,005 | | 323,749 | |

SCHEDULE 3 - PROGRAM DETAIL

| Department of Law | | | | | | APPELLATE UNIT | | | | |
|---|--------------|------|--------------|------|--------------|----------------|----------------|------|---------------|------|
| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| I. POSITION DETAIL | | | | | | | | | | |
| Deputy Attorney General | 122,016 | 1.0 | 122,016 | 1.0 | | | 140,316 | 1.0 | 140,316 | 1.0 |
| First Assistant Attorney General | 286,857 | 3.0 | 286,437 | 3.0 | | | 374,328 | 3.0 | 374,328 | 3.0 |
| Senior Assistant Attorney General | 321,516 | 4.0 | 546,600 | 6.7 | | | 724,104 | 7.0 | 724,104 | 7.0 |
| Assistant Attorney General | 1,278,840 | 19.0 | 1,100,637 | 16.6 | | | 1,435,854 | 18.0 | 1,435,854 | 18.0 |
| Attorney | | | | | | | 297,000 | 4.5 | 330,000 | 5.0 |
| General Prof IV | 68,256 | 1.0 | 68,256 | 1.0 | | | 71,088 | 1.0 | 71,088 | 1.0 |
| Program Assistant I | 42,261 | 0.9 | 48,000 | 1.0 | | | 48,960 | 1.0 | 48,960 | 1.0 |
| Administrative Assistant II | 72,000 | 2.0 | 72,000 | 2.0 | | | 74,930 | 2.0 | 74,930 | 2.0 |
| Administrative Assistant I | | | | | | | | | | |
| TOTAL POSITION DETAIL | 2,191,746 | 30.9 | 2,243,945 | 31.3 | | | 3,166,580 | 37.5 | 3,199,580 | 38.0 |
| (I.A.) CONTINUATION FTE SALARY COSTS | | | | | | | | | | |
| (Permanent FTE by Position) | | | | | | | | | | |
| Continuation Salary Subtotal | 2,191,746 | 30.9 | 2,243,945 | 31.3 | | | 3,166,580 | 37.5 | 3,199,580 | 38.0 |
| (I.B.) OTHER PERSONAL SERVICES | | | | | | | | | | |
| PERA on Continuation Subtotal | 160,407 | | 221,255 | | | | 321,408 | | 324,757 | |
| Medicare on Continuation Subtotal | 29,749 | | 30,136 | | | | 45,915 | | 46,394 | |
| Non-Base building Performance Award | | | | | | | 3,686 | | - | |
| Furlough | - | | - | | | | | | | |
| Part-Time/Temporary Salaries | - | | - | | | | - | | - | |
| Contractual Services | 9,915 | | 10,449 | | | | 10,250 | | 10,250 | |
| Leave | 5,559 | | 21,777 | | | | | | | |
| Vacancy Savings | | | | | | | (148,019) | | | |
| Overtime | - | | - | | | | | | | |
| Other | 41,609 | | 4,944 | | | | - | | 9,757 | |
| SUBTOTAL | 247,240 | 30.9 | 288,561 | 31.3 | | | 233,240 | 37.5 | 391,158 | 38.0 |
| (I.C.) PERSONAL SERVICES | | | | | | | | | | |
| SUBTOTAL= A+B | 2,438,986 | 30.9 | 2,532,506 | 31.3 | | | 3,399,820 | 37.5 | 3,590,738 | 38.0 |
| Difference | | | | | | | | | | |
| (I.D.) POTS EXPENDITURES | | | | | | | | | | |
| Health/Life Dental | 175,915 | | 250,553 | | | | 308,807 | | | |
| Salary Survey | | | | | | | - | | | |
| Performance Awards | | | | | | | - | | | |
| Short Term Disability | 3,854 | | 3,864 | | | | 6,017 | | | |
| SB 04.257 A.E.D. | 58,972 | | 62,556 | | | | 113,997 | | | |
| SB 06.235 S.A.E.D. | 47,379 | | 53,606 | | | | 102,914 | | | |

SCHEDULE 3 - PROGRAM DETAIL

| Department of Law | | | | | | APPELLATE UNIT | | | | |
|---|------------------|-------------|------------------|-------------|--------------|----------------|------------------|-------------|------------------|-------------|
| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Other [] Indicates a Non-add | | | | | | | | | | |
| BASE PERSONAL SERVICES TOTAL= | 2,725,105 | 30.9 | 2,903,085 | 31.3 | | | 3,931,554 | 37.5 | 3,590,738 | 38.0 |
| | | | | | | | | | | |
| (I.F.) DIFFERENCE= II-I.E. | | | | | | | | | - | |
| | | | | | | | | | | |
| (I.G.) | | | | | | | | | | |
| General Fund | | | | | | | | | | |
| Cash Funds | | | | | | | | | | |
| Reappropriated Funds | | | | | | | | | | |
| | | | | | | | | | | |
| II PERSONAL SERVICES REQUEST TOTAL | 2,725,105 | 30.9 | 2,903,085 | 31.3 | | | 3,931,554 | 37.5 | 3,590,738 | 38.0 |
| General Fund | 2,341,206 | | 2,389,459 | | | | 3,290,549 | | 3,266,989 | |
| Cash Funds | | | | | | | | | | |
| Reappropriated Funds | 383,899 | | 513,626 | | | | 641,005 | | 323,749 | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

APPELLATE UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| OPERATING EXPENSES | | | | | | | | | | |
| 1930 Litigation | 1,247 | | 4,735 | | | | 2,525 | | 2,525 | |
| 2170 Waste Disposal Services | 172 | | - | | | | - | | - | |
| 2220 Building Grounds Maintenance | - | | - | | | | - | | - | |
| 2230 Bldg Maintenance/Repair Svcs | - | | - | | | | - | | - | |
| 2231 ADP Equip Maint/Repair Services | 2,922 | | 1,197 | | | | 650 | | 650 | |
| 2232 Software Upgrades | - | | - | | | | - | | - | |
| 2240 Motor Veh Maint/Repair Svcs | - | | - | | | | - | | - | |
| 2251 Lease Motor Pool Vehicle | - | | - | | | | - | | - | |
| 2252 Motor Pool Mileage Charge | - | | - | | | | - | | - | |
| 2255 Rental of Buildings | 102,399 | | 95,513 | | | | - | | - | |
| 2258 Parking Fees | - | | - | | | | - | | - | |
| 2559 Parking Fee Reimbursement | - | | - | | | | - | | - | |
| 2510 In State Travel | 230 | | 40 | | | | - | | - | |
| 2512 IS Personal Travel Per Diem | 711 | | 2,330 | | | | 2,250 | | 2,250 | |
| 2513 IS Personal Vehicle Reimbursement | 40 | | 88 | | | | 75 | | 75 | |
| 2520 IS Travel/Non Employee | - | | - | | | | - | | - | |
| 2521 IS Common Carrier Non Employee | - | | - | | | | - | | - | |
| 2522 IS Non-Emp - Pers Per Diem | - | | - | | | | - | | - | |
| 2523 IS/Non-Emp - Pers Veh Reimb | - | | - | | | | - | | - | |
| 2530 Out of State Travel | 227 | | - | | | | - | | - | |
| 2531 OS Common Carrier Fares | 1,377 | | - | | | | - | | - | |
| 2532 OS Personal Travel Per Diem | 1,615 | | - | | | | 118 | | 118 | |
| 2533 OS Personal Vehicle Reimbursement | - | | - | | | | - | | - | |
| 2550 Out of Country Travel | - | | - | | | | - | | - | |
| 2552 OC Pers Travel Reimbursement | - | | - | | | | - | | - | |
| 2630 Telephone | 13,659 | | 7,840 | | | | 15,545 | | 15,545 | |
| 2631 Comm Svcs from Outside Sources | - | | 142 | | | | 425 | | 425 | |
| 2641 Other ADP Billings - Purchase Services | 61,601 | | 88 | | | | 250 | | 250 | |
| 2660 Insurance | 5,284 | | 5,448 | | | | - | | - | |
| 2680 Contract Printing | 4,843 | | 4,675 | | | | 7,524 | | 7,524 | |
| 2681 Photocopy Reimbursement | - | | - | | | | - | | - | |
| 2810 Freight & Storage | | 0.0 | | - | | | - | | - | |
| 2820 Other Purchased Services | 230 | | 120 | | | | 5,264 | | 5,264 | |
| 2830 Office Moving/Purchased Services | - | | - | | | | - | | - | |
| 3110 Other Supplies and Materials | - | | - | | | | - | | - | |
| 3115 DP Supplies | 422 | | - | | | | 350 | | 350 | |
| 3116 Purchase/Leased Software | - | | - | | | | - | | - | |
| 3117 Educational | - | | - | | | | - | | - | |
| 3120 Books & Subscriptions | 2,929 | | 2,862 | | | | 3,115 | | 3,115 | |
| 3121 Office Supplies | 4,578 | | 3,939 | | | | 6,900 | | 6,900 | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

APPELLATE UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|----------------|-----|----------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| 3122 Microfilming/Photo. Supplies | - | | - | | | | | | - | |
| 3123 Postage | 4,287 | | 3,898 | | | | 4,421 | | 4,421 | |
| 3124 Printing | - | | - | | | | | | - | |
| 3126 Repair & Maintenance/Supplies | - | | - | | | | | | - | |
| 3128 Non-Capitalized Equipment | 89 | | - | | | | | | - | |
| 3131 Non-Capitalized Building Materials | - | | 3,511 | | | | - | | - | |
| 3132 Non-Cap Office Furn/Office Systems | 444 | | 299 | | | | - | | - | |
| 3139 NONCAPITLIZD FIXED ASSET OTHER | - | | - | | | | | | - | |
| 3140 Non-Capitalized IT - PC's | - | | 2,932 | | | | | | - | |
| 3141 Non-Capitalized IT - Servers | - | | - | | | | | | - | |
| 3143 Non-Capitalized IT Other | - | | 1,082 | | | | - | | - | |
| 3146 Non-Capitalized Purchased Server SW | - | | - | | | | | | - | |
| 3950 Gasoline | - | | - | | | | | | - | |
| 4111 Prizes and Awards | 1,600 | | - | | | | | | - | |
| 4140 Dues & Memberships | 10,445 | | 110 | | | | 10,700 | | 10,700 | |
| 4151 Interest Late Payments | - | | - | | | | | | - | |
| 4170 Miscellaneous Fees | - | | - | | | | | | - | |
| 4180 Official Functions | 31 | | - | | | | - | | - | |
| 4220 Registration Fees | 6,749 | | 8,280 | | | | 8,500 | | 8,500 | |
| 6140 Buildings and Improves. to Bldg. | - | | - | | | | | | - | |
| 6210 Other Capital Equipment | - | | - | | | | | | - | |
| 6212 IT PC SW - Direct Purchase | - | | - | | | | - | | - | |
| 6213 IT Servers Direct Purchase | - | | - | | | | - | | - | |
| 6220 Office Furn & Equip | - | | - | | | | 52,200 | | - | |
| 6224 Other Furn & Fixtures- Direct Purchase | - | | - | | | | | | - | |
| 6410 ADP Equipment-Lease Purchase | - | | - | | | | | | - | |
| EBJJ OT RE LAW TO JUD | | | 12,807 | | | | | | - | |
| Operating Expense Subtotal: | 228,131 | | 161,937 | | | | 120,812 | | 68,612 | |
| OPERATING EXPENSE TOTAL: | 228,131 | | 161,937 | | | | 120,812 | | 68,612 | |
| General Fund | 228,131 | | 161,937 | | | | 120,812 | | 68,612 | |
| General Funds Exempt | | | | | | | - | | | |
| Reappropriated Funds | | | | | | | | | | |
| Rollforwards | | | | | | | - | | | |
| General Fund Exempt | | | | | | | - | | | |
| Special Bills | | | | | | | | | | |
| HB07-1054 Increasing Judges | | | | | | | | | - | - |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

| Department of Law | | | | | | | | APPELLATE UNIT | | |
|--|------------------|-------------|------------------|-------------|--------------|------|------------------|----------------|------------------|-------------|
| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| General Fund | | | | | | | | | - | |
| Potted Operating Expenses | | | | | | | | | | |
| Workers' Compensation | | | | | | | 6,386 | | | |
| Leased Vehicle Expense | | | | | | | - | | | |
| Capital Complex Lease Space | | | | | | | 242,590 | | | |
| Lease Space | | | | | | | 947 | | | |
| IT Asset Maintenance | | | | | | | - | | | |
| ADP Capital Outlay | | | | | | | | | | |
| CLE Registration Fees | | | | | | | 11,250 | | | |
| Building Security | | | | | | | - | | | |
| Total | | | | | | | 261,173 | | | |
| General Fund | | | | | | | 261,173 | | | |
| General Fund Exempt | | | | | | | | | | |
| Cash Funds | | | | | | | | | | |
| Reappropriated Funds | | | | | | | - | | | |
| DECISION ITEMS | | | | | | | | | | |
| Appellate FTE Administrative Support #4 | | | | | | | | | 48,170 | 0.9 |
| General Fund | | | | | | | | | 48,170 | 0.9 |
| Cash Funds | | | | | | | | | | |
| Cash Funds Exempt | | | | | | | | | | |
| TOTAL APPELLATE UNIT | 2,953,237 | 30.9 | 3,065,022 | 31.3 | | | 4,313,539 | 37.5 | 3,707,520 | 38.9 |
| General Fund | 2,569,338 | | 2,551,396 | | | | 3,672,534 | | 3,383,771 | |
| General Fund Exempt | | | | | | | 0.00 | | - | |
| Cash Funds | | | | | | | 0.00 | | - | |
| Reappropriated Funds | 383,899 | | 513,626 | | | | 641,005 | | 323,749 | |
| RECONCILIATION OF FUNDS/REQUEST | | | | | | | | | | |
| Long Bill Appropriation | 2,666,027 | 32.0 | 2,703,455 | 32.0 | 3,240,771 | 37.5 | 3,240,771 | 37.5 | 3,240,771 | 37.5 |
| SB 11-76 PERA Reduction | (54,234) | | - | | | | | | | |
| Additional VALE Grant | | | | | 3408.0 | | 3,408 | | 3,408 | |
| FY 14 Decision Item Reconciliation | | | | | | | | | (17,034) | 0.5 |
| FY 15 DI: Appellate Admin FTE | | | | | | | | | 48,170 | 0.9 |
| HB 12 1246 Reinstate Paydate Shift Part Time | | | 5,880 | | | | | | | |
| <i>Decision Item</i> | | | | | | | | | | |
| Salary Survey Classified | | | | | | | 2,852 | | 2,852 | |
| Salary Survey Exempt | | | | | | | 387,106 | | 387,106 | |
| Merit Pay Classified | | | | | | | 2,143 | | 1,465 | |
| Merit Pay Exempt | | | | | | | 43,790 | | 40,782 | |
| Health/Life/Dental | 150,263 | | 89,848 | | | | 241,380 | | | |
| Short Term Disability | 3,948 | | 3,380 | | | | 2,504 | | | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

APPELLATE UNIT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|-----------------------------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| SB 04.257 A.E.D. | 53,083 | | 70,602 | | | | 67,977 | | | |
| SB 06.235 S.A.E.D. | 43,098 | | 60,383 | | | | 60,435 | | | |
| Worker's Compensation | 5,284 | | 5,653 | | | | 6,386 | | | |
| Capital Complex Lease Space/Carr | 71,507 | | 102,783 | | | | 242,590 | | | |
| Lease Space | 894 | | 947 | | | | 947 | | | |
| Vehicle Lease Allocation | | | | | | | - | | | |
| IT Asset Maintenance | | | | | | | - | | | |
| CLE Registration Fees | 11,625 | | 11,250 | | | | 11,250 | | | |
| Building Security | 9,916 | | 10,841 | | | | | | | |
| ADP Capital Outlay Allocation | | | | | | | | | | |
| Rollforward from Previous FY year | | | | | | | - | | | |
| Rollforward to Subsequent FY | | | | | | | | | | |
| Overexpenditure/(Reversions) - GF | | | | | | | | | | |
| Lapsed Appropriation RF | (8,174) | (1.2) | | (0.7) | | | | | | |
| TOTAL RECONCILIATION | 2,953,237 | 30.9 | 3,065,022 | 31.3 | | | 4,313,539 | | 3,707,520 | 38.9 |
| GRAND TOTAL | 2,953,237 | 30.9 | 3,065,022 | 31.3 | 3,244,179 | 37.5 | 4,313,539 | 37.5 | 3,707,520 | 38.9 |
| General Fund | 2,569,338 | | 2,551,396 | | 2,603,174 | | 3,672,534 | | 3,383,771 | |
| General Fund Exempt | | | | | | | - | | - | |
| Cash Funds | | | | | | | - | | - | |
| Reappropriated Funds | 383,899 | | 513,626 | | 641,005 | | 641,005 | | 323,749 | |
| Federal Funds | | | | | | | - | | - | |

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

APPELLATE UNIT

| Item | Fund Number | Actual | Actual | Approp | Estimate | Request |
|-----------------------------|-------------|-----------|-----------|-----------|-----------|-----------|
| | | FY 2012 | FY 2013 | FY 2014 | FY 2014 | FY 2015 |
| Schedule 3 Total | | 2,953,237 | 3,065,022 | 3,244,179 | 4,313,539 | 3,707,520 |
| General Funds | | 2,569,338 | 2,551,396 | 2,603,174 | 3,672,534 | 3,383,771 |
| General Funds Exempt | | | | - | - | - |
| Cash Funds | | | | - | - | - |
| Reappropriated Funds | | 383,899 | 513,626 | 641,005 | 641,005 | 323,749 |
| Reappropriated Funds | 100 | | | | | |
| Indirect Cost Recoveries | | 311,248 | 440,975 | 564,946 | 564,946 | 247,690 |
| Haz Waste HB 10-1329 | | | | | | |
| Victim's Assistance | | 72,651 | 72,651 | 76,059 | 76,059 | 76,059 |

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

MEDICAID FRAUD GRANT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|-------------------------------------|--------------|------|--------------|------|--------------|------|----------------|------|---------------|------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| MEDICAID FRAUD CONTROL GRANT | 1,742,928 | 16.6 | 1,738,022 | 16.2 | 1,579,511 | 17.0 | 2,049,286 | 17.0 | 1,648,189 | 17.0 |
| General Fund | 435,732 | | 424,643 | | 394,876 | | 512,440 | | 412,045 | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | - | | - | | - | | - | | - | |
| Reappropriated Funds | - | | - | | - | | - | | - | |
| Federal Funds | 1,307,196 | | 1,313,380 | | 1,184,635 | | 1,536,846 | | 1,236,144 | |

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|------------------|-------------|------------------|-------------|--------------|-----|------------------|-------------|------------------|-------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| I. POSITION DETAIL | | | | | | | | | | |
| First Assistant Attorney General | 105,000 | 1.0 | 105,000 | 1.0 | | | 127,056 | 1.0 | 127,056 | 1.0 |
| Senior Assistant Attorney General | 98,400 | 1.0 | 98,400 | 1.0 | | | 119,856 | 1.0 | 119,856 | 1.0 |
| Assistant Attorney General | 90,000 | 1.0 | 83,888 | 0.9 | | | 97,524 | 1.0 | 97,524 | 1.0 |
| Criminal Investigator III | 99,036 | 1.0 | 99,036 | 1.0 | | | 103,140 | 1.0 | 103,140 | 1.0 |
| Criminal Investigator II | 692,681 | 9.0 | 622,648 | 8.4 | | | 695,255 | 9.0 | 695,255 | 9.0 |
| Criminal Investigator I | | | | | | | | | 0 | |
| Auditor V | | | | | | | | | 0 | |
| Auditor IV | 65,026 | 0.8 | 77,100 | 1.0 | | | 80,832 | 1.0 | 80,832 | 1.0 |
| Program Assistant I | 45,165 | 1.0 | 37,322 | 0.8 | | | 45,480 | 1.0 | 45,480 | 1.0 |
| Health Professional IV | 57,702 | 0.8 | 71,700 | 1.0 | | | 74,244 | 1.0 | 74,244 | 1.0 |
| Legal Assistant I | | | | | | | | | 0 | |
| Legal Assistant II | 49,044 | 1.0 | 49,044 | 1.0 | | | 57,528 | 1.0 | 57,528 | 1.0 |
| TOTAL POSITION DETAIL | 1,302,054 | 16.6 | 1,244,137 | 16.2 | | | 1,400,915 | 17.0 | 1,400,915 | 17.0 |
| (I.A.) CONTINUATION FTE SALARY COSTS | | | | | | | | | | |
| (Permanent FTE by position) Continuation Salary Subtotal | | | | | | | | | | |
| (I.B.) OTHER PERSONAL SERVICES | | | | | | | | | | |
| PERA on Continuation Subtotal | 96,232 | | 122,485 | | | | 142,193 | | 142,194 | |
| Medicare on Continuation Subtotal | 18,299 | | 17,510 | | | | 20,313 | | 20,313 | |
| Non-Base building Performance Award | 4,000 | | - | | | | - | | - | |
| Part-Time/Temporary Salaries | 1,699 | | - | | | | | | | |
| Professional Contractual Services | 5,922 | | 6,947 | | | | 55,140 | | 8,580 | |
| Sick Leave Payouts | - | | 56 | | | | | | | |
| Annual Leave Payouts | 368 | | 430 | | | | | | | |
| Leave Payouts | | | | | | | - | | - | |
| Furloughs | - | | - | | | | | | | |
| Overtime | - | | - | | | | | | | |
| Other | 447 | | 1,571 | | | | 750 | | 750 | |
| SUBTOTAL | 126,967 | 16.6 | 148,998 | 16.2 | | | 218,396 | 17.0 | 171,837 | 17.0 |
| (I.C.) PERSONAL SERVICES SUBTOTAL= A+B | 1,429,020 | 16.6 | 1,393,135 | 16.2 | | | 1,619,311 | 17.0 | 1,572,752 | 17.0 |
| (I.D.) POTS EXPENDITURES | | | | | | | | | | |
| Health/Life Dental | 99,166 | | 108,756 | | | | 127,917 | | | |
| Salary Survey | | | | | | | - | | | |

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|------------------|-------------|------------------|-------------|--------------|-----|------------------|-------------|------------------|-------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Performance Awards | | | | | | | - | | | |
| Short Term Disability | 2,299 | | 2,143 | | | | 2,662 | | | |
| SB 04.257 A.E.D. | 35,214 | | 38,560 | | | | 50,433 | | | |
| SB 06.235 S.A.E.D. | 28,293 | | 33,116 | | | | 45,530 | | | |
| Other | | | | | | | | | | |
| [] Indicates a Non-add | | | | | | | | | | |
| (I.E.) BASE PERSONAL SERVICES TOTAL= C+D | 1,593,992 | 16.6 | 1,575,710 | 16.2 | | | 1,845,853 | 17.0 | 1,572,752 | 17.0 |
| (I.F.) DIFFERENCE- II.-I.E. | | | | | | | | | | |
| (I.G.) REQUEST YEAR DECISION ITEMS | | | | | | | | | | |
| General Fund | | | | | | | | | | |
| Cash Funds | | | | | | | | | | |
| Reappropriated Funds | | | | | | | | | | |
| II. PERSONAL SERVICES REQUEST TOTAL | 1,593,992 | 16.6 | 1,575,710 | 16.2 | | | 1,845,853 | 17.0 | 1,572,752 | 17.0 |
| General Fund | 398,498 | | 384,064 | | | | 461,463 | | 393,188 | |
| Cash Funds | | | | | | | | | | |
| Reappropriated Funds | - | | - | | | | | | | |
| Federal Funds | 1,195,494 | | 1,191,645 | | | | 1,384,390 | | 1,179,564 | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| OPERATING EXPENSES | | | | | | | | | | |
| 1930 Litigation | 433 | | (43) | | | | 750 | | 750 | |
| 2170 Waste Disposal Service | - | | 2 | | | | - | | - | |
| 2230 Equipment Contract Maintenance | 3 | | 8 | | | | - | | - | |
| 2231 ADP Equip Maint/Repair Services | 1,104 | | (697) | | | | 450 | | 450 | |
| 2232 Software Upgrades | 495 | | 354 | | | | 1,212 | | 1,212 | |
| 2240 Motor Veh Maint/Repair Svcs | - | | - | | | | - | | - | |
| 2251 Lease Motor Pool Vehicle | 3,423 | | 3,306 | | | | | | - | |
| 2252 Motor Pool Mileage Charge | 3,610 | | 3,583 | | | | 3,025 | | 3,025 | |
| 2253 Equipment Rental | - | | - | | | | | | - | |
| 2254 Rental of Motor Vehicles | - | | - | | | | | | - | |
| 2255 Rental of Buildings | 54,140 | | 52,127 | | | | | | - | |
| 2258 Parking Fees | 3,960 | | 3,960 | | | | 3,500 | | 3,500 | |
| 2259 Parking Fee Reimbursement | - | | - | | | | - | | - | |
| 2510 In State Travel | - | | - | | | | | | - | |
| 2511 In State Common Carrier Fare | - | | - | | | | | | - | |
| 2512 IS Personal Travel Per Diem | 4,073 | | 2,720 | | | | 3,525 | | 3,525 | |
| 2513 IS Personal Vehicle Reimbursement | 80 | | 20 | | | | 50 | | 50 | |
| 2520 IS Travel/Non Employee | - | | - | | | | | | - | |
| 2522 IS Non-Emp - Pers Per Diem | - | | - | | | | | | - | |
| 2523 IS/Non-Emp - Pers Veh Reimb | - | | - | | | | | | - | |
| 2530 Out of State Travel | 246 | | 514 | | | | 500 | | 500 | |
| 2531 OS Common Carrier Fares | 1,672 | | 3,609 | | | | 3,425 | | 3,425 | |
| 2532 OS Personal Travel Per Diem | 4,509 | | 4,900 | | | | 4,972 | | 4,972 | |
| 2533 OS Personal Vehicle Reimbursement | - | | - | | | | | | - | |
| 2550 Out of Country Travel | - | | - | | | | | | - | |
| 2552 OC Pers Travel Reimbursement | - | | - | | | | | | - | |
| 2610 Advertising | - | | - | | | | | | - | |
| 2630 Telephone | 11,632 | | 8,204 | | | | 11,250 | | 11,250 | |
| 2631 Comm Svcs from Outside Sources | 8,480 | | 7,688 | | | | 8,545 | | 8,545 | |
| 2641 Other ADP Billings - Purchase Services | 3,293 | | - | | | | 250 | | 250 | |
| 2650 OIT Purchased Svcs | - | | - | | | | | | - | |
| 2660 Insurance | 2,807 | | 2,988 | | | | | | - | |
| 2680 Contract Printing | 13 | | 1,575 | | | | 2,256 | | 2,256 | |
| 2981 Photocopy Reimbursement | - | | - | | | | | | - | |
| 2810 Freight & Storage | - | | - | | | | | | - | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|----------------|-----|----------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| 2820 Other Purchased Services | 445 | | - | | | | | | - | |
| 2830 Office Moving/Purchased Services | - | | - | | | | | | - | |
| 2831 Storage Purch Svs | 5 | | 3 | | | | | | | |
| 3110 Other Supplies and Materials | - | | 272 | | | | | | - | |
| 3112 Automotive Supplies | - | | - | | | | | | - | |
| 3113 Clothing & Uniform Allowance | - | | - | | | | | | - | |
| 3114 Custodial | - | | - | | | | | | - | |
| 3115 DP Supplies | 56 | | 226 | | | | - | | - | |
| 3116 Purchase/Leased Software | 7 | | 83 | | | | | | | |
| 3119 Med Lab Supplies | - | | 32 | | | | - | | - | |
| 3120 Books & Subscriptions | 12,516 | | 8,648 | | | | 10,128 | | 10,128 | |
| 3121 Office Supplies | 2,541 | | 979 | | | | 2,256 | | 2,256 | |
| 3122 Microfilming/Photo. Supplies | - | | - | | | | | | - | |
| 3123 Postage | 693 | | 526 | | | | 778 | | 778 | |
| 3124 Printing | 43 | | 81 | | | | | | - | |
| 3126 Repair & Maintenance/Supplies | - | | - | | | | | | - | |
| 3128 Non-Capitalized Equipment | 6,113 | | 1,172 | | | | - | | - | |
| 3131 Non-Capitalized Building Materials | - | | - | | | | - | | - | |
| 3132 Non-Capitalized Furn/Office Systems | - | | - | | | | | | - | |
| 3139 Non-Capitalized Fixed Asset Other | - | | - | | | | | | - | |
| 3140 Non-Capitalized IT - PC's | 129 | | 9,212 | | | | - | | - | |
| 3142 Non-Capitalized IT - Network | - | | 3 | | | | - | | - | |
| 3143 Non-Capitalized IT Other | 94 | | 334 | | | | - | | - | |
| 3146 Non-Capitalized IT Purch Servers SW | - | | - | | | | - | | - | |
| 4111 Prizes and Awards | - | | - | | | | | | - | |
| 4140 Dues & Memberships | 17,590 | | 9,778 | | | | 14,715 | | 14,715 | |
| 4151 Interest Late Payments | - | | - | | | | | | - | |
| 4170 Miscellaneous Fees | - | | - | | | | | | - | |
| 4180 Official Functions | 65 | | 33 | | | | | | - | |
| 4220 Registration Fees | 4,664 | | 3,784 | | | | 3,850 | | 3,850 | |
| 6140 Buildings and Improves. to Bldg. | - | | - | | | | | | - | |
| 6210 Other Capital Equipment | - | | - | | | | | | - | |
| 6212 IT Servers Direct Purchase | - | | 29 | | | | - | | - | |
| 6216 IT Server SW - Direct Purchase | - | | 146 | | | | | | - | |
| 6224 Other Furniture and Fixtures Direct Purchase | - | | 24,377 | | | | - | | - | |
| EBJJ Law to Judicial | | | 7,775 | | | | | | | |
| Operating Expense Subtotal: | 148,936 | | 162,312 | | | | 75,437 | | 75,437 | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|--|------------------|-------------|------------------|-------------|--------------|-----|------------------|-------------|------------------|-------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| OPERATING EXPENSE TOTAL: | 148,936 | | 162,312 | | | | 75,437 | | 75,437 | |
| General Fund | 37,234 | | 40,578 | | | | 18,859 | | 18,858 | |
| Federal Funds | 111,702 | | 121,734 | | | | 56,578 | | 56,579 | |
| Potted Operating Expenses | | | | | | | | | | |
| Workers' Compensation | | | | | | | 2,895 | | | |
| Leased Vehicle Expense | | | | | | | 3,487 | | | |
| Capital Complex Lease Space | | | | | | | 116,019 | | | |
| Leased Space Allocation | | | | | | | 229 | | | |
| IT Asset Maintenance | | | | | | | 1,381 | | | |
| Communication Service Payments | | | | | | | 2,860 | | | |
| ADP Capital Outlay | | | | | | | | | | |
| CLE Registration Fees | | | | | | | 1,125 | | | |
| Building Security | | | | | | | - | | | |
| Total | | | | | | | 127,996 | | | |
| General Fund | | | | | | | 31,059 | | | |
| Cash Funds | | | | | | | | | | |
| Reappropriated Funds | | | | | | | | | | |
| Federal Funds | | | | | | | 96,937 | | | |
| FY 13 Decision Item: | | | | | | | | | | |
| Med Fraud FTE | | | | | | | | | - | |
| General Funds | | | | | | | | | - | |
| Federal Funds | | | | | | | | | - | |
| Vacancy Savings | | | | | | | | | - | |
| General Funds | | | | | | | | | - | |
| Federal Funds | | | | | | | | | - | |
| TOTAL MEDICAID FRAUD | 1,742,928 | 16.6 | 1,738,022 | 16.2 | | | 2,049,286 | 17.0 | 1,648,189 | 17.0 |
| General Fund | 435,732 | | 424,643 | | | | 512,440 | | 412,046 | |
| General Fund Exempt | - | | - | | | | - | | - | |
| Cash Funds | - | | - | | | | - | | - | |
| Reappropriated Funds | - | | - | | | | - | | - | |
| Federal Funds | 1,307,196 | | 1,313,380 | | | | 1,536,846 | | 1,236,144 | |
| RECONCILIATION OF FUNDS/REQUEST | | | | | | | | | | |
| Long Bill Appropriation | 1,579,511 | 17.0 | 1,579,511 | 17.0 | 1,579,511 | | 1,579,511 | 17.0 | 1,579,511 | 17.0 |
| Supplemental SB09-192 | | | | | | | | | | |
| SB 10-167 False Claims Act | | | | | | | | | | |
| SB 11-76 PERA | (30,537) | | | | | | - | | - | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

MEDICAID FRAUD GRANT

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Dept requested base adjustment | | | | | | | | | (33,796) | |
| DPA NP Printing Statewide Warrants and Mainframe Docs | | | | | | | | | | |
| Classified Salary Survey | | | | | | | 34,377 | | 34,377 | |
| NonClassified Salary Survey | | | | | | | 68,097 | | 68,097 | |
| Classified Perf Pay | | | | | | | 17,272 | | | |
| NonClassified Perf Pay | | | | | | | 5,647 | | | |
| Health/Life/Dental | 80,104 | | 80,167 | | | | 127,128 | | | |
| Short Term Disability | 1,915 | | 1,793 | | | | 1,528 | | | |
| SB 04.257 A.E.D. | 30,299 | | 35,265 | | | | 48,336 | | | |
| SB 06.235 S.A.E.D. | 24,347 | | 36,953 | | | | 39,394 | | | |
| Worker's Compensation | 2,807 | | 3,003 | | | | 2,895 | | | |
| Leased Space Allocation | 216 | | 229 | | | | 229 | | | |
| Capital Complex Lease Space | 53,925 | | 51,946 | | | | 116,019 | | | |
| Vehicle Lease Allocation | 3,551 | | 3,487 | | | | 3,487 | | | |
| IT Asset Maintenance | 1,381 | | 1,381 | | | | 1,381 | | | |
| ADP Capital Outlay Allocation | | | | | | | | | | |
| Communication Service Payments | 2,672 | | 3,377 | | | | 2,860 | | | |
| CLE Registration Fees | 751 | | 1,125 | | | | 1,125 | | | |
| Building Security | 5,268 | | 5,758 | | | | - | | | |
| Rollforward from Previous FY | | | | | | | | | | |
| Rollforward to Subsequent FY | | | | | | | | | | |
| Overexpenditure/(Reversion) - GF | (3,320) | (0.1) | | | | | | | | |
| Lapsed Appropriation Reappropriated Funds | | | | | | | | | | |
| Lapsed Appropriation Federal Funds | (9,962) | (0.3) | (65,973) | (0.8) | | | | | | |
| TOTAL RECONCILIATION | 1,742,928 | 16.6 | 1,738,022 | 16.2 | | | 2,049,286 | 17.0 | 1,648,189 | 17.0 |
| GRAND TOTAL | 1,742,928 | 16.6 | 1,738,022 | 16.2 | 1,579,511 | 17.0 | 2,049,286 | 17.0 | 1,648,189 | 17.0 |
| General Fund | 435,732 | | 424,643 | | 394,876 | | 512,440 | | 412,045 | |
| General Fund Exempt | - | | - | | | | - | | - | |
| Cash Funds | - | | - | | | | - | | - | |
| Reappropriated Funds | - | | - | | | | - | | - | |
| Federal Funds | 1,307,196 | | 1,313,380 | | 1,184,635 | | 1,536,846 | | 1,236,144 | |

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

MEDICAID FRAUD GRANT

| Item | Fund Number | Actual | Actual | Approp | Estimate | Request |
|------------------------------|-------------|-----------|-----------|-----------|-----------|-----------|
| | | FY 2012 | FY 2013 | FY 2014 | FY 2014 | FY 2015 |
| Schedule 3 Total | | 1,742,928 | 1,738,022 | 1,579,511 | 2,049,286 | 1,648,189 |
| General Funds | | 435,732 | 424,643 | 394,876 | 512,440 | 412,045 |
| General Funds Exempt | | - | - | - | - | - |
| Cash Funds | | - | - | - | - | - |
| Reappropriated Funds | | - | - | - | - | - |
| Federal Funds | | 1,307,196 | 1,313,380 | 1,184,635 | 1,536,846 | 1,236,144 |
| Federal Funds | | | | | | |
| Medicaid Fraud Federal Funds | | 1,307,196 | 1,313,380 | 1,184,635 | 1,536,846 | 1,236,144 |

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|----------------------|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| POST Board | 2,612,975 | 4.6 | 2,587,006 | 5.5 | 3,062,320 | 7.0 | 3,159,472 | 7.0 | 3,073,274 | 7.0 |
| General Fund | - | | - | | - | | - | | - | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | 2,612,975 | | 2,587,006 | | 3,062,320 | | 3,159,472 | | 3,073,274 | |
| Reappropriated Funds | - | | - | | - | | - | | - | |
| Federal Funds | | | | | | | | | | |

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| I. POSITION DETAIL | | | | | | | | | | |
| General Professional VI | 96,800 | 1.0 | 59,860 | 0.7 | | | 92,304 | 1.0 | 92,304 | 1.0 |
| General Professional V | 73,986 | 0.9 | | | | | | | | |
| General Prof IV | | | 58,949 | 0.9 | | | 73,248 | 1.0 | 73,248 | 1.0 |
| Compliance Investigator II | 66,876 | 1.0 | 66,876 | 1.0 | | | 69,300 | 1.0 | 69,300 | 1.0 |
| Assistant Attorney General | | | 6,309 | | | | | | | |
| Data Specialist | 8,045 | 0.2 | | | | | | | - | |
| Technician III | 1,239 | 0.0 | | | | | 44,736 | 1.0 | 44,736 | 1.0 |
| Administrative Asst II | 8,753 | 0.2 | 36,000 | 1.0 | | | 37,308 | 1.0 | 37,308 | 1.0 |
| Program Assistant II | 41,000 | 0.8 | | | | | | | | |
| Program Assistant I | 16,374 | 0.4 | 81,600 | 2.0 | | | 86,604 | 2.0 | 86,604 | 2.0 |
| TOTAL POSITION DETAIL | 313,074 | 4.6 | 309,594 | 5.5 | | | 403,500 | 7.0 | 403,500 | 7.0 |
| (I.A.) CONTINUATION FTE SALARY COSTS | 313,074 | 4.6 | 309,594 | 5.5 | | | 403,500 | 7.0 | 403,500 | 7.0 |
| (Permanent FTE by Position) | | | | | | | | | | |
| Continuation Salary Subtotal | | | | | | | | | | |
| (I.B.) OTHER PERSONAL SERVICES | | | | | | | | | | |
| PERA on Continuation Subtotal | 27,325 | | 30,584 | | | | 40,955 | | 40,955 | |
| Medicare on Continuation Subtotal | 5,210 | | 4,373 | | | | 5,851 | | 5,851 | |
| Non-Base building performance Award | | | | | | | - | | - | |
| Part Time/Temporary Services | 28,158 | | 2,457 | | | | - | 0.0 | - | |
| Contractual Services | 197,244 | | 87,394 | | | | 248,575 | | 247,781 | |
| Overtime Payments | 5,106 | | - | | | | | | | |
| Termination/Retirement Payouts | | | | | | | | | | |
| Furlough | - | | - | | | | | | | |
| Leave Payout | 17,099 | | - | | | | - | | | |
| Sick Leave Payout | 2,129 | | - | | | | - | | | |
| Unemployment Payout | 6,548 | | 2,724 | | | | - | | | |
| SUBTOTAL | 288,817 | | 127,532 | | | | 295,381 | | 294,587 | |
| (I.C.) PERSONAL SERVICES | | | | | | | | | | |
| SUBTOTAL= A+B | 601,891 | 4.6 | 437,126 | 5.5 | | | 698,881 | 7.0 | 698,087 | 7.0 |
| (I.D.) POTS EXPENDITURES | | | | | | | | | | |
| Health/Life Dental | 19,036 | | 34,404 | | | | 40,779 | | | |
| Salary Survey | | | | | | | | | | |

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|----------------|------------|----------------|------------|--------------|-----|----------------|------------|----------------|------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Performance Awards | | | | | | | | | | |
| Short Term Disability | 544 | | 531 | | | | 767 | | | |
| SB 04.257 A.E.D. | 10,033 | | 9,707 | | | | 14,526 | | | |
| SB 06.235 S.A.E.D. | 8,076 | | 8,367 | | | | 13,114 | | | |
| Other | 1,275 | | 435 | | | | | | | |
| [] Indicates a Non-add | | | | | | | | | | |
| (I.E.) BASE PERSONAL SERVICES TOTAL= C+D | 640,855 | 4.6 | 490,570 | 5.5 | | | 768,067 | 7.0 | 698,087 | 7.0 |
| (I.F.) DIFFERENCE=II- I.E. | | | | | | | | | - | |
| (I.G.) REQUEST YEAR DECISION ITEMS | | | | | | | | | | |
| General Fund | | | | | | | | | | |
| Cash Funds | | | | | | | | | | |
| Reappropriated Funds | | | | | | | | | | |
| II. PERSONAL SERVICES REQUEST TOTAL | 640,855 | 4.6 | 490,570 | 5.5 | | | 768,067 | 7.0 | 698,087 | 7.0 |
| General Fund | | | | | | | - | | - | |
| General Fund Exempt | | | | | | | | | | |
| Cash Funds | 640,855 | | 490,570 | | - | | 768,067 | | 698,087 | |
| Reappropriated Funds | - | | - | | | | - | | - | |
| Federal Funds | | | | | | | | | | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| OPERATING EXPENSES | | | | | | | | | | |
| 2170 Waste Disposal Services | - | | 15 | | | | - | | - | |
| 2230 Equipment Contract Maintenance | 20 | | 53 | | | | 1,250 | | 1,250 | |
| 2231 ADP Equip Maint/Repair Services | 1,664 | | 2,294 | | | | 2,250 | | 2,250 | |
| 2232 Software Upgrades | 6,167 | | 6,481 | | | | - | | - | |
| 2240 Motor Veh Maint/Repair Svcs | - | | - | | | | | | - | |
| 2250 Misc Rentals | - | | - | | | | | | - | |
| 2251 Lease Motor Pool Vehicle | 2,375 | | 2,417 | | | | | | - | |
| 2252 Motor Pool Mileage Charge | 2,061 | | 2,560 | | | | 3,215 | | 3,215 | |
| 2253 Equipment Rental | - | | - | | | | | | - | |
| 2254 Rental of Motor Vehicles | - | | 88 | | | | | | - | |
| 2255 Rental of Buildings | 22,205 | | 21,382 | | | | | | | |
| 2258 Parking Fees | 1,320 | | 1,320 | | | | | | - | |
| 2259 Parking Fee Reimbursement | 337 | | 310 | | | | 400 | | 400 | |
| 2510 In State Travel | - | | 180 | | | | | | - | |
| 2512 IS Personal Travel Per Diem | 2,021 | | 2,191 | | | | 3,886 | | 3,886 | |
| 2513 IS Personal Vehicle Reimbursement | 320 | | 95 | | | | - | | - | |
| 2514 State Owned Aircraft | - | | - | | | | | | - | |
| 2520 IS Travel/Non Employee | 25 | | 53 | | | | | | - | |
| 2522 IS/Non-Emp - Pers Per Diem | | | 2,381 | | | | 2,450 | | 2,450 | |
| 2523 IS/Non-Emp - Pers Veh Reimb | - | | 2,524 | | | | 2,625 | | 2,625 | |
| 2530 Out of State Travel | 122 | | 36 | | | | 150 | | 150 | |
| 2531 OS Common Carrier Fares | 645 | | 776 | | | | 500 | | 500 | |
| 2532 OS Personal Travel Per Diem | 2,776 | | 838 | | | | 225 | | 225 | |
| 2533 OS Personal Vehicle Reimbursement | - | | - | | | | | | - | |
| 2540 OS Travel - Non Emp | 87 | | - | | | | | | | |
| 2541 OS Non Emp - Comm Carrier | 209 | | - | | | | | | | |
| 2542 OS/Non Employee Pers Per Diem | 393 | | - | | | | | | - | |
| 2550 Out of Country Travel | - | | - | | | | | | - | |
| 2552 OC Pers Travel Reimbursement | - | | - | | | | | | - | |
| 2630 Telephone | 3,830 | | 1,721 | | | | 4,214 | | 4,214 | |
| 2631 Comm Svcs from Outside Sources | 420 | | 455 | | | | 275 | | 275 | |
| 2641 Other ADP Billings - Purchase Services | - | | - | | | | 215 | | 215 | |
| 2650 OIT Purchased Services | - | | - | | | | | | | |
| 2660 Insurance | 1,156 | | 1,230 | | | | - | | - | |
| 2680 Contract Printing | 5,248 | | 6,138 | | | | 8,700 | | 8,700 | |
| 2681 Photocopy Reimbursement | - | | - | | | | | | - | |
| 2690 Other Pur Services - Legal | 12,314 | | 15,455 | | | | 18,500 | | 18,500 | |
| 2810 Freight & Storage | - | | - | | | | | | - | |
| 2820 Other Purchased Services | - | | - | | | | | | - | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|--|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| 2830 Office Moving/Purchased Services | - | | - | | | | | | - | |
| 2831 Storage - Purch Svs | 33 | | 21 | | | | | | | |
| 3110 Other Supplies and Materials | - | | - | | | | | | - | |
| 3112 Automotive Supplies | - | | - | | | | | | - | |
| 3113 Clothing & Uniform Allowance | - | | 1,523 | | | | | | - | |
| 3114 Custodial | - | | - | | | | | | - | |
| 3115 DP Supplies | 91 | | - | | | | 189 | | 189 | |
| 3116 Purchase/Leased Software | 46 | | 139 | | | | - | | - | |
| 3117 Educational | - | | 5 | | | | 75 | | 75 | |
| 3120 Books & Subscriptions | 8,959 | | 178 | | | | 212 | | 212 | |
| 3121 Office Supplies | 3,991 | | 2,992 | | | | 4,360 | | 4,360 | |
| 3122 Microfilming/Photo. Supplies | 46 | | 97 | | | | 39 | | 39 | |
| 3123 Postage | 4,336 | | 4,976 | | | | 4,250 | | 4,250 | |
| 3124 Printing | 337 | | 174 | | | | | | - | |
| 3126 Repair & Maintenance/Supplies | - | | - | | | | | | - | |
| 3128 Non-Capitalized Equipment | - | | 1,276 | | | | | | - | |
| 3131 Non-Capitalized Building Materials | - | | - | | | | | | - | |
| 3132 Non-Capitalized Furn/Office Systems | - | | 599 | | | | | | - | |
| 3140 Non-Capitalized IT PC's | 802 | | 2,488 | | | | - | | - | |
| 3141 Non-Capitalized IT - Servers | - | | - | | | | - | | - | |
| 3142 Non-Capitalized IT - Network | | | 19 | | | | | | | |
| 3143 Non-Capitalized IT Other | 10 | | 5,768 | | | | - | | - | |
| 3146 Non-Capitalized IT Purchased Server | - | | - | | | | - | | - | |
| 4100 Other Operating Expenses | - | | - | | | | | | - | |
| 4111 Prizes and Awards | - | | - | | | | | | - | |
| 4140 Dues & Memberships | 3,076 | | 450 | | | | 1,475 | | 1,475 | |
| 4151 Interest Late Payments | - | | - | | | | | | - | |
| 4170 Miscellaneous Fees | - | | - | | | | | | - | |
| 4180 Official Functions | 1,646 | | 966 | | | | 1,200 | | 1,200 | |
| 4220 Registration Fees | 2,040 | | 527 | | | | | | - | |
| 5110 Grants to Cities | - | | - | | | | | | - | |
| 5120 Grants to Counties | - | | - | | | | | | - | |
| 5520 Distributions Counties | 1,628 | | 2,925 | | | | | | | |
| 5776 State Grant - Interfund | 34,685 | | 28,809 | | | | 18,040 | | 18,040 | |
| 5781 Grants to NonGov/Organizations | 1,844,682 | | 1,836,496 | | | | 2,251,152 | | 2,296,492 | |
| 5881 Grants to NonGov/Organ | | | 97,003 | | | | | | | |
| 6140 Buildings and Improves. to Bldg. | - | | - | | | | | | - | |
| 6210 Other Capital Equipment | - | | - | | | | | | - | |
| 6212 IT PC SW Direct Purchase | - | | 190 | | | | - | | - | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|--|------------------|------------|------------------|------------|------------------|------------|------------------|------------|------------------|------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| 6213 IT Servers Direct Purchase | - | | - | | | | - | | - | |
| 6214 IT Other Direct Purchase | - | | 12,164 | | | | | | | |
| 6216 IT Server SW == Direct Purchase | | | 959 | | | | | | | |
| 6220 Office Furn & Equip | - | | - | | | | | | - | |
| 6222 Office Furniture Direct Purchase | | | 24,703 | | | | | | | |
| 6280 Other Capital Equipment (direct purchase) | - | | - | | | | | | - | |
| 6410 ADP Equipment-Lease Purchase | - | | - | | | | | | - | |
| 7520 Intra Fund Transfer-Other | - | | - | | | | | | - | |
| Operating Expense Subtotal: | 1,972,120 | | 2,096,437 | | | | 2,329,847 | | 2,375,187 | |
| OPERATING EXPENSE TOTAL: | 1,972,120 | | 2,096,437 | | | | 2,329,847 | | 2,375,187 | |
| General Fund | | | | | | | | | - | |
| General Funds Exempt | | | | | | | | | | |
| Cash Funds | 1,972,120 | | 2,096,437 | | | | 2,329,847 | | 2,375,187 | |
| Reappropriated Funds | | | | | | | | | | |
| Potted Operating Expenses | | | | | | | | | | |
| Workers' Compensation | | | | | | | 1,237 | | | |
| Leased Vehicle Expense | | | | | | | 2,477 | | | |
| Capital Complex Lease Space | | | | | | | 48,763 | | | |
| Leased Space | | | | | | | - | | | |
| IT Asset Maintenance | | | | | | | 9,082 | | | |
| ADP Capital Outlay | | | | | | | - | | | |
| Building Security | | | | | | | - | | | |
| Total | | | | | | | 61,559 | | | |
| General Fund | | | | | | | - | | | |
| Cash Funds | | | | | | | 61,559 | | | |
| Reappropriated Funds | | | | | | | | | | |
| FY DECISION ITEM REQUEST | | | | | | | | | | |
| General Fund | | | | | | | - | | - | |
| Cash Funds | | | | | | | - | | - | |
| TOTAL POST BOARD | 2,612,975 | 4.6 | 2,587,006 | 5.5 | 2,966,320 | 7.0 | 3,159,472 | 7.0 | 3,073,274 | 7.0 |
| General Fund | | | | | | | - | | - | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

PEACE OFFICERS STANDARDS & TRAINING BOARD

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|--|------------------|------------|------------------|------------|------------------|------------|------------------|------------|------------------|------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| General Fund Exempt | - | | - | | | | - | | | |
| Cash Funds | 2,612,975 | | 2,587,006 | | 2,966,320 | | 3,159,472 | | 3,073,274 | |
| Reappropriated Funds | | | | | | | - | | - | |
| Federal Funds | | | | | | | | | | |
| RECONCILIATION OF FUNDS/REQUEST | | | | | | | | | | |
| Long Bill Appropriation | 2,683,620 | 7.0 | 2,683,620 | 7.0 | 2,966,320 | | 2,966,320 | 7.0 | 2,966,320 | 7.0 |
| SB 13-283 | | | | | 20,000 | | 20,000 | | 20,000 | |
| HB 13-1317 Recommendations for Retail Marijuana | | | | | 76,000 | | 76,000 | | 76,000 | |
| Salary Survey-Classified | | | | | | | | | - | |
| PBP - Classified | | | | | | | | | - | |
| SB 11-76 PERA Reduction | (8,920) | | | | | | - | | - | |
| PERA change back to 10.15% | | | | | | | | | | |
| <i>DPA NP Printing Statewide Warrants and Mainframe Docs</i> | | | | | | | | | | |
| <i>Special Bill - HB08-1397</i> | | | | | | | - | | | |
| <i>Supplemental HB 10-1305</i> | | | | | | | | | | |
| Merit Pay | | | | | | | 3,039 | | 3,039 | |
| Salary Survey | | | | | | | 7,915 | | 7,915 | |
| Health/Life/Dental | 49,285 | | 46,496 | | | | 20,946 | | | |
| Short Term Disability | 663 | | 639 | | | | 303 | | | |
| SB 04.257 A.E.D. | 10,493 | | 13,414 | | | | 2,408 | | | |
| SB 06.235 S.A.E.D. | 8,432 | | 11,527 | | | | 982 | | | |
| Workers Compensation | 1,156 | | 1,237 | | | | 1,237 | | | |
| Capital Complex Lease Space/CARR BLDG | - | | 11,390 | | | | 48,763 | | | |
| Leased Space Allocation | | | | | | | | | | |
| Vehicle Lease Allocation | 2,375 | | 2,477 | | | | 2,477 | | | |
| Building Security | 2,169 | | 2,371 | | | | | | | |
| IT Asset Maintenance | 8,550 | | 9,082 | | | | 9,082 | | | |
| ADP Capital Outlay Allocation | | | | | | | | | | |
| Rollforward from Previous FY | | | | | | | - | | | |
| Rollforward to Subsequent FY | | | | | | | | | | |
| Overexpenditure/(Reversion) - GF | | | | | | | | | | |
| Lapsed Appropriation Cash Fund | (144,848) | (2.5) | (195,247) | (1.5) | | | | | | |
| Lapsed Appropriation Cash Fund Exempt | | | | | | | | | | |
| TOTAL RECONCILIATION | 2,612,975 | 4.5 | 2,587,006 | 5.5 | | | 3,159,472 | 7.0 | 3,073,274 | 7.0 |
| GRAND TOTAL | 2,612,975 | 4.6 | 2,587,006 | 5.5 | 3,062,320 | 7.0 | 3,159,472 | 7.0 | 3,073,274 | 7.0 |
| General Fund | | | | | - | | - | | - | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | 2,612,975 | | 2,587,006 | | 3,062,320 | | 3,159,472 | | 3,073,274 | |
| Reappropriated Funds | - | | - | | | | - | | - | |
| Federal Funds | - | | - | | | | | | | |

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

| Department of Law | | PEACE OFFICERS STANDARDS & TRAINING BOARD | | | | |
|-----------------------------|-------------|---|-----------|-----------|-----------|-----------|
| Item | Fund Number | Actual | Actual | Approp | Estimate | Request |
| | | FY 2012 | FY 2013 | FY 2014 | FY 2014 | FY 2015 |
| Schedule 3 Total | | 2,612,975 | 2,587,006 | 3,062,320 | 3,159,472 | 3,073,274 |
| General Funds | | - | - | - | - | - |
| General Funds Exempt | | - | - | - | - | - |
| Cash Funds | | 2,612,975 | 2,587,006 | 3,062,320 | 3,159,472 | 3,073,274 |
| Reappropriated Funds | | - | - | - | - | - |
| Cash Funds | 296 | | | | | |
| POST Board Fund | | 2,612,975 | 2,587,006 | 3,062,320 | 3,159,472 | 3,073,274 |
| Reappropriated Funds | 296 | | | | | |
| POST Board Fund Reserve | | - | - | - | - | - |

SCHEDULE 2 - PROGRAM SUMMARY

Department of Law

Safe2Tell

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|----------------------|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Safe2Tell | 106,805 | 1.0 | 109,781 | 1.0 | 100,686 | 1.0 | 124,448 | 1.0 | 107,471 | 1.0 |
| General Fund | 106,805 | | 109,781 | | 100,686 | | 124,448 | | 107,471 | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | - | | - | | - | | - | | - | |
| Reappropriated Funds | 0 | | 0 | | 0 | | - | | 0 | |
| Federal Funds | - | | - | | - | | - | | | |

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

Safe2Tell

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|----------------|------------|----------------|------------|--------------|-----|----------------|------------|----------------|------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| I. POSITION DETAIL | | | | | | | | | | |
| General Professional VI | 93,384 | | 93,384 | | | | 96,300 | 1.0 | 96,300 | 1.0 |
| TOTAL POSITION DETAIL | 93,384 | - | 93,384 | - | | | 96,300 | 1.0 | 96,300 | 1.0 |
| (I.A.) CONTINUATION FTE SALARY COSTS | 93,384 | 1.0 | 93,384 | 1.0 | | | 96,300 | 1.0 | 96,300 | 1.0 |
| (Permanent FTE by position) | | | | | | | | | | |
| Continuation Salary Subtotal | | | | | | | | | | |
| (I.B.) OTHER PERSONAL SERVICES | | | | | | | | | | |
| PERA on Continuation Subtotal | 6,716 | | 9,712 | | | | 9,774 | | 9,774 | |
| Medicare on Continuation Subtotal | 1,354 | | 1,355 | | | | 1,396 | | 1,396 | |
| Non-Base Building Performance Awards | - | | - | | | | | | | |
| Contractual | - | | - | | | | | | | |
| Furlough Days | - | | - | | | | | | | |
| Other | - | | - | | | | | | | |
| SUBTOTAL | 8,070 | | 11,067 | | | | 11,171 | | 11,171 | |
| (I.C.) PERSONAL SERVICES SUBTOTAL= A+B | 101,454 | 1.0 | 104,451 | 1.0 | | | 107,471 | 1.0 | 107,471 | 1.0 |
| (I.D.) POTS EXPENDITURES | | | | | | | | | | |
| Health/Life Dental | 113 | | 110 | | | | 10,210 | | | |
| Salary Survey | | | | | | | - | | | |
| Performance Awards | | | | | | | - | | | |
| Short Term Disability | 165 | | 162 | | | | 170 | | | |
| SB 04.257 A.E.D. | 2,817 | | 2,724 | | | | 3,467 | | | |
| SB 06.235 S.A.E.D. | 2,257 | | 2,335 | | | | 3,130 | | | |
| Other | | | | | | | | | | |
| [] Indicates a Non-add | | | | | | | | | | |
| (I.E.) BASE PERSONAL SERVICES TOTAL= C+D | 106,805 | 1.0 | 109,781 | 1.0 | | | 124,448 | 1.0 | 107,471 | 1.0 |
| (I.F.) DIFFERENCE= II- I.E. | | | | | | | | | | |
| (I.G.) REQUEST YEAR DECISION ITEMS | | | | | | | | | | |

SCHEDULE 3 - PROGRAM DETAIL

Department of Law

Safe2Tell

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|--|----------------|------------|----------------|------------|--------------|-----|----------------|------------|----------------|------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| General Fund | | | | | | | | | | |
| Cash Funds | | | | | | | | | | |
| Reappropriated Funds | | | | | | | | | | |
| II. PERSONAL SERVICES REQUEST TOTAL | 106,805 | 1.0 | 109,781 | 1.0 | | | 124,448 | 1.0 | 107,471 | 1.0 |
| General Fund | 106,805 | | 109,781 | | | | 124,448 | | 107,471 | |
| Cash Funds | | | | | | | | | | |
| Reappropriated Funds | | | | | | | | | - | |
| Federal Funds | | | | | | | | | - | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Safe2Tell

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|--------------|-----|--------------|-----|--------------|-----|----------------|-----|---------------|-----|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| OPERATING EXPENSES | | | | | | | | | | |
| 2230 Equipment Maintenance/Repair Srvs | - | | - | | | | - | | | |
| 2231 ADP Equip Maint/Repair Services | - | | - | | | | - | | | |
| 2232 Software Upgrades | - | | - | | | | - | | | |
| 2254 Rental of Motor Vehicles | - | | - | | | | | | | |
| 2255 Rental of Buildings | - | | - | | | | | | | |
| 2258 Parking Fees | - | | - | | | | | | | |
| 2512 IS Personal Travel Per Diem | - | | - | | | | | | | |
| 2513 IS Personal Vehicle Reimbursement | - | | - | | | | | | | |
| 2532 OS Personal Travel Per Diem | - | | - | | | | | | | |
| 2630 Telephone | - | | - | | | | | | | |
| 2631 Comm Svcs from Outside Sources | - | | - | | | | - | | | |
| 2641 Other ADP Billings - Purchase Services | - | | - | | | | | | | |
| 2660 Insurance | - | | - | | | | | | | |
| 2680 Contract Printing | - | | - | | | | | | | |
| 3115 Data Processing Supplies | - | | - | | | | | | | |
| 3116 Purchase/Leased Software | - | | - | | | | | | | |
| 3117 Educational | - | | - | | | | | | | |
| 3120 Books & Subscriptions | - | | - | | | | | | | |
| 3121 Office Supplies | - | | - | | | | | | | |
| 3122 Microfilming/Photo. Supplies | - | | - | | | | | | | |
| 3123 Postage | - | | - | | | | | | | |
| 3140 Non-Capitalized IT - PCs | - | | - | | | | | | | |
| 3141 Non-Capitalized IT - Servers | - | | - | | | | | | | |
| 3143 Non-Capitalized IT - Other | - | | - | | | | | | | |
| 3146 Non-Capitalized IT Purchased Server SW | - | | - | | | | | | | |
| 4140 Dues & Memberships | - | | - | | | | | | | |
| 4220 Registration Fees | - | | - | | | | | | | |
| 6212 IT Servers - Direct Purchase | - | | - | | | | | | | |
| 6213 IT PC SW - Direct Purchase | - | | - | | | | | | | |
| Operating Expense Subtotal: | - | | - | | | | - | | - | |
| OPERATING EXPENSE TOTAL: | - | | - | | | | - | | - | |
| General Fund | | | | | | | | | | |
| Reappropriated Funds | - | | - | | | | - | | - | |
| Potted Operating Expenses | | | | | | | | | | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Safe2Tell

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|--|----------------|------------|----------------|------------|----------------|------------|----------------|------------|----------------|------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| ### Workers' Compensation | | | | | | | - | | | |
| Leased Space Allocation | | | | | | | - | | | |
| IT Asset Maintenance | | | | | | | | | | |
| ADP Capital Outlay | | | | | | | | | | |
| Building Security | | | | | | | - | | | |
| Total | | | | | | | - | | | |
| General Fund | | | | | | | - | | | |
| General Fund | | | | | | | | | | |
| Reappropriated Funds | | | | | | | | | | |
| Projected Shortfall | | | | | | | - | | | |
| GF | | | | | | | - | | | |
| TOTAL SAFE2TELL | 106,805 | 1.0 | 109,781 | 1.0 | 100,686 | 1.0 | 124,448 | 1.0 | 107,471 | 1.0 |
| General Fund | | | | | 100,686 | | 124,448 | | 107,471 | |
| Reappropriated Funds | | | | | - | | - | | - | |
| Federal Funds | | | | | | | | | - | |
| RECONCILIATION OF FUNDS/REQUEST | | | | | | | | | | |
| Long Bill Appropriation | 100,686 | | 100,686 | | | | 100,686 | 1.0 | 100,686 | 1.0 |
| Safe2Tell Decision Item | | | | | | | | | | |
| Federal Grant | | | | | | | | | | |
| Salary Survey Classified | | | | | | | 5,226 | | 5,226 | |
| Perf Pay Classified | | | | | | | 1,559 | | 1,559 | |
| Health/Life/Dental | 4,819 | | 3,741 | | | | 10,210 | | | |
| Short Term Disability | | | 138 | | | | 170 | | | |
| SB 04.257 A.E.D. | 1,371 | | 2,957 | | | | 3,467 | | | |
| SB 06.235 S.A.E.D. | | | 2,529 | | | | 3,130 | | | |
| Capital Complex Lease Space | | | | | | | - | | | |
| Workers Compensation | | | | | | | - | | | |
| Vehicle Lease Allocation | | | | | | | | | | |
| IT Asset Maintenance | | | | | | | | | | |
| ADP Capital Outlay Allocation | | | | | | | | | | |
| Building Security | | | | | | | - | | | |
| Rollforward from Previous FY year | | | | | | | | | | |
| Restriction | | | | | | | | | | |
| Overexpenditure/(Reversion) - GF | (71) | | (270) | | | | | | | |

SCHEDULE 3 - OPERATING PROGRAM DETAIL

Department of Law

Safe2Tell

| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14 | | Request FY 15 | |
|---|----------------|------------|----------------|------------|----------------|------------|----------------|------------|----------------|------------|
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Lapsed Appropriation Reappropriated Funds | | | | | | | | | | |
| Lapsed Appropriation Federal Funds | | | | | | | | | | |
| TOTAL RECONCILIATION | 106,805 | 1.0 | 109,781 | 1.0 | | | 124,448 | 1.0 | 107,471 | 1.0 |
| GRAND TOTAL | 106,805 | 1.0 | 109,781 | 1.0 | 100,686 | 1.0 | 124,448 | 1.0 | 107,471 | 1.0 |
| General Fund | 106,805 | | 109,781 | | 100,686 | | 124,448 | | 107,471 | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | - | | - | | - | | - | | - | |
| Reappropriated Funds | - | | - | | - | | - | | - | |
| Federal Funds | | | | | | | - | | | |

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

Safe2Tell

| Item | Fund Number | Actual | Actual | Approp | Estimate | Request |
|-----------------------------|----------------|---------|---------|---------|----------|---------|
| | | FY 2012 | FY 2013 | FY 2014 | FY 2014 | FY 2015 |
| Schedule 3 Total | | 106,805 | 109,781 | 100,686 | 124,448 | 107,471 |
| General Funds | | 106,805 | 109,781 | 100,686 | 124,448 | 107,471 |
| General Funds Exempt | | - | - | - | - | - |
| Cash Funds | | - | - | - | - | - |
| Reappropriated Funds | | - | - | - | - | - |
| Federal Funds | | - | - | - | - | - |
| Reappropriated Funds | | - | - | - | - | - |
| Federal Funds | | - | - | - | - | - |

| SCHEDULE 2 - PROGRAM SUMMARY | | | | | | | | | | |
|---|--------------|-----|--------------|-----|--------------|-----|-----------------|-----|---------------|-----|
| Department of Law | | | | | | | | | | |
| INDIRECT COST ASSESSMENT - CRIMINAL JUSTICE & APPELLATE | | | | | | | | | | |
| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14. | | Request FY 15 | |
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Criminal Justice & Appellate Indirect | 440,209 | | 443,705 | | 515,376 | | 515,376 | | 522,392 | |
| General Fund | - | | - | | - | | - | | - | |
| General Fund Exempt | - | | - | | - | | - | | - | |
| Cash Funds | 222,031 | | 215,830 | | 263,029 | | 263,029 | | 266,609 | |
| Reappropriated Funds | 71,943 | | 73,184 | | 82,780 | | 82,780 | | 83,907 | |
| Federal Funds | 146,235 | | 154,691 | | 169,567 | | 169,567 | | 171,875 | |

| SCHEDULE 3 - PROGRAM DETAIL | | | | | | | | | | |
|---|----------------|-----|----------------|-----|----------------|-----|-----------------|-----|----------------|-----|
| Department of Law | | | | | | | | | | |
| INDIRECT COST ASSESSMENT - CRIMINAL JUSTICE & APPELLATE | | | | | | | | | | |
| Item | Actual FY 12 | | Actual FY 13 | | Approp FY 14 | | Estimate FY 14. | | Request FY 15 | |
| | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE | Total Funds | FTE |
| Criminal Justice & Appellate Indirect | 440,209 | | 443,705 | | 515,376 | | 515,376 | | 522,392 | |
| General Fund | | | | | | | - | | - | |
| General Fund Exempt | | | | | | | - | | | |
| Cash Funds | 222,031 | | 215,830 | | 263,029 | | 263,029 | | 266,609 | |
| Reappropriated Funds | 71,943 | | 73,184 | | 82,780 | | 82,780 | | 83,907 | |
| Federal Funds | 146,235 | | 154,691 | | 169,567 | | 169,567 | | 171,875 | |
| RECONCILIATION OF FUNDS | | | | | | | | | | |
| Long Bill Appropriation | 451,504 | | 446,544 | | | | | | | |
| Supplemental | | | | | | | | | | |
| Rollforward from Previous FY | | | | | | | | | | |
| Rollforward to Subsequent FY | | | | | | | | | | |
| Lapsed Appropriation Cash Funds | - | | 0 | | | | | | | |
| Lapsed Appropriation Federal Funds | (11,295) | | 0 | | | | | | | |
| Lapsed Appropriation Reappropriated Funds | - | | (2,839) | | | | | | | |
| TOTAL RECONCILIATION | 440,209 | | 443,705 | | | | | | | |

SCHEDULE 4 - SOURCE OF FINANCING - DIRECT REVENUES

Department of Law

INDIRECT COST ASSESSMENT - CRIMINAL JUSTICE & APPELLATE

| Item | Fund Number | Actual | Actual | Approp | Estimate | Request |
|--|----------------|----------------|----------------|----------------|----------------|----------------|
| | | FY 2012 | FY 2013 | FY 2014 | FY 2014 | FY 2015 |
| Schedule 3 Total | | 440,209 | 443,705 | 515,376 | 515,376 | 522,392 |
| General Funds | | - | - | - | - | - |
| General Funds Exempt | | - | - | - | - | - |
| Cash Funds | | 222,031 | 215,830 | 263,029 | 263,029 | 266,609 |
| Reappropriated Funds | | 71,943 | 73,184 | 82,780 | 82,780 | 83,907 |
| Federal Funds | | 146,235 | 154,691 | 169,567 | 169,567 | 171,875 |
| Cash Funds | | 222,031 | 215,830 | 263,029 | 263,029 | 266,609 |
| State Compensation Insurance Authority | | 38,452 | - | - | - | - |
| POST Board Cash Fund | | 86,828 | 86,828 | 93,462 | 93,462 | 94,734 |
| Insurance Fraud Cash Fund | | 96,751 | 129,002 | 169,567 | 169,567 | 171,875 |
| Reappropriated Funds | | 71,943 | 73,184 | 82,780 | 82,780 | 83,907 |
| DORA Division of Insurance Cash Fund | | - | - | - | - | - |
| DORA Division of Securities | | 71,943 | 73,184 | 82,780 | 82,780 | 83,907 |
| Federal Funds | | | | | | |
| Medicaid Federal Grant | | 146,235 | 154,691 | 169,567 | 169,567 | 171,875 |